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A VECTOR FOR POLYNUCLEOTIDE VACCINES

Field of the Invention

The present invention relates generally to polynucleotide vaccines and a novel vector useful for same. The present invention relates more specifically to a humanized polynucleotide vector vaccine which is useful in generating an immune response to a selected target antigen, in particular, to a tumor antigen.

Background of the Invention

Cancer is a leading cause of morbidity and mortality. Conventional therapies such as surgery, radiation treatment and the like have resulted in a modest improvement of survival. There remains a need in the art for alternative treatments. With the advancing knowledge of immunology and tumor interaction with cells of the immune system, the upregulation of the immune system provides an alternative approach to treatment of cancers.

The process of oncogenesis involves multiple steps and predominantly involves intracellular protein products of either oncogenies or tumor suppressor genes (3-7). These intracellular proteins frequently have mutations or alterations leading to dysregulation or altered activity which can result in 'altered self' protein sequences and therefore, potential tumor-specific antigens (8,9). The immune response to any tumor requires recognition of such tumor associated antigens. These more subtle, 'altered self', antigenic differences are likely to be much less immunodominant than truly foreign antigens such as those from infectious disease pathogens or allograft tissues. The recent work defining antigen presentation has revealed that antibodies generally recognize antigens in the form of whole molecules, T cells typically recognize antigens in the form of small peptides combined with MHC molecules. This understanding of antigen presentation has aided the recent identification of a number of tumor-associated antigens which are recognized by T cells and derived from intracellular proteins (9). The characterization of oncogene mutations, dysregulated developmental or oncofetal genes, and mutations of tumor suppressor genes provides multiple potential unique target antigens for anti-tumor immune

responses.

One form of cancer, breast cancer is the most common cancer affecting women

in the United States of America, with 183,400 new cases annually (1). Breast cancer is also the second most frequent single case of female cancer mortality with 46,000 deaths annually (1). Although there has been significant progress over the past several decades in the surgical, radiotherapeutic, and medical treatment of this disease, none of these modalities alone or in combination provide curative therapy for the majority of patients with advanced (stage III or metastatic) breast cancer. Less than 40% of patients with advanced breast carcinoma will be alive and disease free, five years from diagnosis and initial treatment (2). Interestingly, patients with significant inflammatory infiltrates in the primary tumor, i.e. medullary carcinoma, have significantly improved survival (2) despite a higher degree of cellular anaplasia. The recent identification of tumor suppressor gene loss, oncogene mutations and oncogene dysregulation in breast cancers has opened new horizons for the treatment of the extraordinarily common cancer.

Numerous oncogene alterations have been documented in patients with breast adenocarcinoma including p53, HER2/neu (C-erbB-2), Rb, ras, PEM/MUC 1, BRCA1, BRCA2, Int-2, and hst. These particular oncogene alterations yield a panel of potential tumor associated targets for immunomodulatory therapies. Up to 80% of advanced breast adenocarcinomas will have p53 alterations (10-14) with most of the mutations occurring in the so called "hot spot", between codons 130 and 286, (15-20). The C-erbB-2 or HER-2/neu gene product shares homology with the epidermal growth factor receptor (21-25) and is expressed in up to 40% breast carcinomas (26). The tumor suppressor gene Rb has been noted to be altered or absent in 24% to 42% of breast cancer patients (27-29) and this percentage appears to be increased in metastatic lesions over primary tumors. The role of ras alterations appears to be more complex in breast cancer than for most other tumors (30-33). H-ras is the member of this family of proteins which is most frequently altered in breast cancers although, either K ras and/or H ras are overexpressed in excess of 70% of breast cancers. Polymorphic Epithelial Mucin (PEM) or MUC-1 is a tumor-associated antigen which is now known to represent an underglycosylated and dysregulated glycoprotein (34-36) and is diffusely overexpressed on 94% of breast cancers (37). BRCA1 has recently

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been cloned (38) and mutations have been characterized in patients with ovarian and breast cancer (39-43). Int-2 and Hst are oncogene sequences encoding proteins which are members of the fibroblast growth factor (FGF) supergene family (44). Amplification of Int-2 and Hst is significantly correlated with invasive breast carcinoma (45, 46) and estrogen receptor positivity (47). Breast carcinomas have other alterations including overexpression of non-mutated ubiquitous cellular proteins such at c-myc, Bc1-1, Bc1-2, EGFR, PRAD1 (cyclin D1), IGF1R, bFGF, PDGF-B, TGF-b and others (48, 49). When the novel or mutated regulatory elements responsible for the overexpression of these individual genes are identified and characterized they could prove to be appropriate target tumor antigens. Clearly, there is a diverse and growing set of potential tumor antigens as a result of the multiple molecular events inherent in the development of breast adenocarcinoma.

recently accumulated suggesting potential for Evidence has immunotherapeutic approaches in the treatment of breast cancer. Patients with breast cancer can have a cellular response to autologous tumor associated antigens (50). Breast cancer patients can also have antibodies to mutated p53 proteins (51). Elution of antigens from immune complexes present in sera of patients with breast carcinoma reveal multiple oncogenic antigens (52). T-lymphocytes reactive to mutated p53, HER2/neu, and ras peptides have been described (9, 53, 54-58). PEM/MUC-1 has been shown to be recognized by both antibodies and cytotoxic T lymphocyte (CTL) lines (34, 52, 59-61). Some CTL recognize the target antigen in a non-MHC restricted fashion (52, 58-61) however, in a murine model classical HLA restricted CTL recognizing the non-repeating core sequences have been elicited (62, 63). These findings, in addition to the association of improved survival with inflammatory infiltration of primary breast tumors, suggest that patients can mount an immune response to the malignant cells of breast tumors.

Oncogene and tumor suppressor genes can have mutations within confined domains, such as p53 and ras (15-20, 64, 65), while others have mutations which inactivate the protein product and/or can have total loss of the normal alleles, such as RB-1 and BRCA1 (5-7, 66-70). Obviously, allelic deletions provide no protein product but, mutated inactive gene products can be the source of peptides recognized as tumor associated antigens. Significantly, humoral and CTL immune responses to

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-30 polypeptides encompassing the mutated sequences for both p53 and ras have been identified (50-52, 55, 71, 72, 73). These genetic alterations from germline can be highly specific and unique for any one individual tumor, even those with identical phenotypic features, and may yield unique individual tumor antigens (74, 53, 17, 51). Some oncogenies products such as HER2/neu (C-erbB-2), PEM/MUC-1, Int-2, Hst-1, and TRE17 (75-80) do not display polymorphism due to mutations but rather, are overexpressed in malignant tissues and essentially not expressed in normal adult tissues. These proteins and glycoproteins which are preferentially expressed in/on neoplastic mammary cells more closely fit the classical model of tumor antigens. When immune responses have been demonstrated to these preferentially expressed proteins and responses are frequently limited to regions of dissimilarity with the homologous or normal proteins expressed in adult tissues (9, 32, 56, 58, 60). Therefore, regions of dissimilarity, like mutated sequences, are potential antigenic targets for immunomodulation of the anti-tumor immune response.

The ability to precisely define and characterize tumor associated antigens has facilitated the design and evaluation of immunomodulatory therapies. immunotherapies have been largely limited to non-Hodgkin's lymphoma and melanoma. In the case of non-Hodgkin's lymphomas, the idiotypic immunoglobulin molecule has been a target of several different strategies (81-84). The use of anti-idiotypic antibodies resulted in some clinical responses but, modulation of the antigen was also seen (85, 86). Use of the intact idiotypic immunoglobulin molecule as a polypeptide vaccine has elicited both cellular and humoral immune responses which correlate with improved clinical courses (87-89). This suggests that even within a single tumor-associated protein a broader immune response, potentially to multiple epitopes within the polypeptide, will result in improved clinical efficacy. Several groups have conducted trials using allogeneic cells or allogeneic cellular preparations in an attempt to address the issue of antigenic heterogeneity within tumors but, with notable exceptions these strategies have not contributed significantly to improved survival in any tumor type (9, 90, 91). Although the evaluation of clinical efficacy rests on improved survival, immunological parameters may be surrogate end points which facilitate the design and evaluation of new strategies. In the case of these "polyvalent" preparations, the ability to adequately evaluate immunologic parameters is severely hindered by the lack of defined antigens. To date, there has not been an immunomodulatory trial with multiple, defined, autologous, tumor associated antigens.

Intratumoral heterogeneity dictates that antigen specific immunomodulatory maneuvers be individualized or face severe limitations on the patient population for which they are applicable. Single antigen based immunomodulatory strategies do not account for intratumoral heterogeneity and thus, are at risk for tumor escape from immune surveillance even if effective augmentation of the anti-tumor immune response is accomplished. Based on our current understanding of the biology of neoplasms, including the multitude of cellular processes which must be deranged for transformation and tumorigenesis, each patient's tumor offers multiple potential targets for antigen-specific, immunomodulatory therapies. A more effective and efficacious strategy should take advantage of this multiplicity of potential, highly specific, tumor antigens. However, there is no current immunomodulatory strategy which allows for this individualized 'polyclonal' approach.

We now appreciate that, as with the immune response in allograft rejection or viral infections, an effective anti-tumor immune response must involve a cytolytic cellular response. Evidence is accumulating that the MHC class I pathway of antigen presentation and cytotoxic T lymphocytes (CTL) are important for effective in vivo anti-tumor immune responses (62, 89, 92-96). It has been shown that even in vaccine studies using exogenous protein antigen, modest increases in the cytotoxic T lymphocyte compartment have been demonstrated and correlated with freedom from progression (89). Furthermore, the preeminent role of the TH1 phenotype of T helper cells in both humans and animal models supports the critical role of multiple arms of the cellular immune system for an effective anti-tumor immune response (97-101) and provides further evidence for the critical role of CTL anti-tumor immune responses.

The recently described polynucleotide vaccine strategy has been demonstrated to yield humoral and cellular (both helper and cytotoxic T lymphocyte) immune responses to numerous encoded antigens (102, 103, 104-131). This is in contrast to the overwhelming humoral response to exogenous protein or cellular vaccine preparations. This strategy uses covalent closed circular (CCC) plasmid DNA, 'naked DNA', to express the target antigen (117). These plasmids are non-replicating but, are capable of extended stable expression of the target sequences in skeletal muscle

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and potentially in professional antigen presenting cells such as members of the macrophage lineage or dendritic cells (117). The plasmids are non-replicating but, capable of stable mRNA expression within skeletal muscle and reticuloendothelial cells. Thus, antigens encoded by these mRNA sequences are processed as endogenous proteins and presented to the immune system in a manner analogous to presentation of virus encoded protein antigens. It is now recognized that most potential tumor antigens are recognized as peptides derived from intracellular proteins, some of which are dysregulated or mutated oncogene products (103, 132a, 133a, 134a, 104-112, 118, 119). Polynucleotide vaccine strategy provides an avenue for eliciting immune responses to such intracellular oncogene or dysregulated products. Multiple studies have demonstrated the expression of target antigens following intramuscular injection and uptake of 'naked DNA' (132a, 133a, 134a, 103, 1-20). The uptake of DNA is increased if preceded by 24 hours with an intramuscular injection of a myo-toxic agent in the same vicinity (133a, 134a, 1, 7, 10, 15).

These genes generate a foreign protein, raise the possibility of an anti-vector immune response, and have the theoretical risk of transferring antibiotic resistance to normal host flora. These vectors use the CMV promoter, b actin promoter, or other retroviral LTR elements to drive transcription of the target sequence. These promoter elements have ubiquitous activity but, are large in the case of CMV and b actin, and in the case of the LTR have the theoretical risk of integration and potential oncogenic event. All of the current vectors are designed with a single target antigen in place with little or no flexibility for changing target sequences. The functional size limitation of all plasmid vectors limits the size of insertable elements. By utilizing large promoter elements and the complete ColE1 or MB1 origins of replication the size of the vector is increased and limitations are placed on the size and number of insertable elements. Thus, none of the existing vectors are optimally designed for evaluation of an anti-tumor polynucleotide vaccine strategy.

The majority of the antigens evaluated to date are generally monomorphic and thus, can be readily incorporated into established plasmid vectors. However, putative tumor antigens, i.e., altered self proteins, in any given tumor are not heterogeneous and in some cases distinctly unique. For example, mutations in p53, although limited

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to a contiguous region of approximately 600bp, are very heterogeneous from tumor to tumor (15-20). Other intracellular tumor antigens will likely demonstrate similar heterogeneity in the range of mutations. Therefore, the present invention is a vector which accommodates both monomorphic and polymorphic target antigens via PCR This vector is useful in generating patient-specific, target-specific multiple antigen, anti-target polynucleotide vaccines.

Summary of the Invention

An object of the invention is a humanized, polynucleotide vector.

An object of the invention is a kit comprising a humanized, polynucleotide vector alone, or in combination with at least one nucleic acid sequence encoding one or more target antigens or antigenic epitopes thereof.

Another object of the invention is a humanized polynucleotide vector vaccine useful in eliciting an immune response against one or more target antigens or antigenic epitope thereof.

Yet another object of the invention is a pharmaceutical composition comprising the humanized polynucleotide vector vaccine and a pharmaceutically acceptable carrier.

A further object of the invention is a kit comprising a humanized polynucleotide vector vaccine alone or in combination with an expression enhancing agent.

Another object of the invention is a sequence acceptance site which accepts cDNA target products from rt-PCR cloning.

One aspect of the invention is a method of preparing a humanized polynucleotide vector.

Another aspect of the invention is a method of preparing a humanized polynucleotide vector vaccine.

Yet another aspect of the invention is a method for expressing at least one target antigen or antigenic epitope thereof in cells comprising introducing a humanized polynucleotide vector into cells under conditions for expression of the target antigen or antigenic epitope thereof.

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Another object of the invention is a host cell expressing at least one target antigen or antigenic epitope thereof provided by a polynucleotide vector.

A further aspect of the invention is a method of stimulating a specific immune response to at least one target antigen or antigenic epitope thereof in a mammal comprising the administration of an effective amount of a polynucleotide vector vaccine into the mammal to elicit the specific immune response.

Another aspect of the invention are target antigen specific monoclonal and polyclonal antibodies elicited by administration of a polynucleotide vector vaccine.

Yet another aspect of the invention are target antigen specific cytotoxic lymphocytes and target antigen specific helper T lymphocytes elicited by administration of a polynucleotide vector vaccine.

These and other objects, features and many of the attendant advantages of the invention will be better understood upon a reading of the detailed description of the invention and drawings.

Brief Description of the Drawings

Figure 1 is a genetic map of the polynucleotide vector, pITL.

Figure 2 depicts the insertion site in the polynucleotide vector, pITL, for cDNA encoding target antigen.

Figures 3A through 3C show the amino acid sequence homology between human epidermal growth factor receptor (EGFR) and human Her 2/neu. The line underscores the target sequence of human Her 2/neu. The bold letters indicate the transmembrane domain.

Figures 4A through 4C show the amino acid sequence of human Her 2/neu and Rat Her 2/neu. The lines overlie the target sequence for both human and rat Her 2/neu. The bold letters indicate the transmembrane domain.

Figure 5 shows the restriction enzyme sites of the polynucleotide vector pITL.

Detailed Description Of The Invention

The present invention is a "humanized" vector which has the necessary elements to express mRNA for a target antigen. The resultant translated polypeptides are available for processing into presentable antigens to the immune system. The vector accommodates monomorphic and polymorphic nucleic acid sequences of a

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target antigen or antigens. The vector of the present invention is useful for constructing polynucleotide vector vaccines or "naked DNA" vaccines containing a nucleic acid sequence encoding one or more target antigens.

The polynucleotide vaccines vector of the present invention has the following characteristics:

- (1) is selectable for growth and production of a polynucleotide vaccine product,
- is capable of eukaryotic expression of one or more target antigens or antigenic epitopes thereof,
- is functional in selected tissue and selected cells of the inflammatory immune system,
- (4) has minimal extraneous non-human DNA sequences to minimize potential toxicity, and
- (5) is capable of accepting target nucleic acid sequences from a number of different transcripts.

A polynucleotide vaccine of the invention offers multiple advantages over other vaccine strategies for immunomodulation. Polynucleotide vaccine strategies appear to elicit brisk CTL responses in all models in which this aspect of the immune response has been evaluated as seen in Table 1. The primary advantage of the polynucleotide vaccine of the invention is that the target antigen(s) is expressed as an intracellular polypeptide or peptide and, as such, is processed as a self polypeptide or peptide and appropriately presented on antigen presenting cells.

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ANTIGEN	MODEL SPECIES		IMMUNE RESPONSES		TH1/TH2	FUNCTIONAL EFFECT
		HUMORAL	PROLIFERATIVE	כזו		
Rabies	Mouse	+		+	THI	Protective
Plasmodium	Mouse	+		+		Protective
Influenza, Nucleoprotein	Mouse	+		+		Protective
	Mouse			+		
Influenza, Hemagglutinin	Mouse	+				Protective
	Mouse	+				Protective
	Chicken	+				Protective
	Chicken	+				
Bovine Herpes Virus - 1	Mouse	+		+		
	Bovine	+				Decreased Shedding
HBV, Envelope	Mouse	+				Protective & 1g Class Switch
HBV, Surface A	Rabbit	+				
HCV, Core Protein	Mouse	+	+	+		
HCV, Nucleocapsid	Mouse	+				
HSV, Glycoprotein D2	Guinea Pig	+				Protective
HIV-1, GP160	Mouse	+	+			Reduced Syncytia
	Mouse	+		+		
HIV-1, GP160	Primate	+				Reduced Syncytia
HIV-1, GP120	Primate	+				

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			TABLE 1, CONT.		
NIS	Primate	+		+	Protective
BBTA GAL	Primate	+			Expression
Luciferase	Primate	+			Expression
Apolipoprotein E	Rat				Expression
CEA	Mouse	+	+		
053	Mouse	+		+	Protective
Factor 1X	Mouse	+		+	
h Growth Hormone	Mouse				Expression
Dystrophin	Mouse	+			Expression

Other added advantages of the polynucleotide vector as a vaccine is that preparation of plasmid DNA is much less labor and time intensive than cellular or protein vaccine preparations. Polynucleotide vaccine preparations are readily purified, sterilized, and DNA preparations are known to have a long shelf life when stored as a precipitate. Technical expertise in standard sterile tissue handling procedures, standard molecular techniques, and established PCR technology is all that is required for generation of the polynucleotide vaccine preparation. Administration is by standard medical procedures performed routinely. Safety concerns are minimal as no retroviral or oncogenic viral elements are used in the present invention. Finally, with the vector of the present invention, the only protein to be transcribed and translated is the target antigen thus, unlike the pox virus vectors or existing polynucleotide vaccine vectors there is no acquired immunity to the vector of the present invention.

The polynucleotide vector of the present invention has minimal exogenous, non-human DNA and has been maximally "humanized". A 'humanized' vector is one in which the majority of the functional elements are derived from or synthesized based on a human element or a mammalian homolog of the human element. The intent of humanization is to achieve sustained expression of a target antigen(s) with no or minimal risk of methylation and genetic downregulation which would prevent or inhibit translation of the target antigen(s). Therefore, the vector does not contain sequences which may increase inactivation by methylation or changes in tertiary structure. Thus, the polynucleotide vector selectively elicits immune responses to the target sequence(s) with little or no immune response to the other components of the polynucleotide vector.

The humanized polynucleotide vector comprises a human derived promoter or mammalian homolog thereof which is functional in a mammalian target tissue and mammalian target cells and a sequence acceptance site which accepts cDNA target products from rtPCR cloning.

There are minimal non-human components in the polynucleotide vector. These components of the polynucleotide vector which are non-human derived components are necessary for production of the vector. These non-human components are the origin of replication which allows replication and growth of the vector in bacterial or yeast host cells and a nucleic acid sequence which allows for selection of recombinant

plasmids in the bacterial or yeast host cells.

In one embodiment, the plasmid comprises an origin for plasmid replication and growth in bacterial cells, a nucleic acid sequence which allows for selection of recombinant plasmids which is operably linked to a human-derived promoter which is functional in a mammalian target tissue and mammalian target cells, a cloning site for insertion of sequences encoding target antigen(s), a stuffer sequence, a human derived 3' splice sequence, and a human derived poly A sequence.

The origin of replication may be non-human and may be derived from several sources including bacteria and yeast and the like. Such origins of replication include, but are not limited to PMB1, pUB110, pBC16, pSAQS01, pX012, pE194, pC194, pS174pSA2100, pSE3, pAM330, pCG1, pCG4, pHM1519, pSa151, pLS103, pTA1060, pBs81/6, pSC101, R1, RK2, RSF1010, ARS1, R6K, Sa, R300B, Rep, RepA, pRO1614, OriT, OriV, OriW, OriC, OriF, OriP, OriT, OriT, CdE1, pEW27, pE194, fd, F1, F, NR1, p15A, a colE1 origin, functional portions thereof, and the like which are available from plasmids deposited with the American Type Culture Collection (ATCC), Rockville, MD. In a preferred embodiment, the origin of replication is a colE1 origin or functional portion thereof. In one embodiment, the origin of replication for use in constructing the polynucleotide vector is a minimal colE1 origin isolated from the vector pBR327 (ATCC) (Oka, A. et al Molec. Gen. Genet. Vol. 172, 151-159, 1979) comprising the sequence:

GGCCGCGTTG CTGGCGTTTT TCCATAGGCT CCGCCCCCT GACGAGCATC ACAAAAATCG ACGCTCAAGT CAGAGGTGGC GAAACCCGAC AGGACTATAA AGATACCAGG CGTTTCCCCC TGGAAGCTCC CTCGTGCGCT CTCCTGTTCC GACCCTGCCG CTTACCGGAT ACCTCTCCGC CTTTCTCCCT TCGGGAAGCG TGGCGCTTTC TCAATGCTCA CCCCCTTACCGAA CCCCCCTTCCCC GGTGTAGGTC CTCGCCCTTA TCCGGTAACT ATCGTCTGA GTCCAACCCG GTAAGACACG ACTTATCGCC ACTGGCAGCA GCCACTGGTA ACAGGATTAG CAGAGCGAGG TATGTAGGCG GTGCTACAGA GTTCTTGAAG TGGTGGCCTA ACTACGGCTA CAC (SEO. ID. NO. 1), and analogs thereof.

The minimal colE1 origin is a minimal size yet functional and provides plasmid replication and growth within permissive strains of <u>E. coli</u>. The colE1 in antiparallel as it reads in the polynucleotide vector and comprises the sequence:

ATACCTCGCT CTGCTAATCC TGTTACCAGT GGCTGCTC AGTGGCGATA AGTCGTGTCT TACCGGGTTG GACTCAAGAC GATAGTTACC GGATAAGGCG CAGCGGTCG GCTGAACGGG GGGTTCGTGC ACACAGCCCA GCTTGGAGCG AACGACCTAC ACCGAACTGA GATACCTACA CCGTGAGCAT TGAGAAAGCG CCACGCTTCC CGAAGGGAGA AAGGCGGACA GGTATCCGGT AAGCGGCAGG GTCGGAACAG GAGAGCGCAC GAGGGAGCTT CCAGGGGGAA ACGCCTGGTA TCTTTATAGT CCTGTCGGGT TTCGCCACCT CTGACTTGAG CGTCGATTTT TGTGATGCTC GTCAGGGGGG CGGAGCCTAT GGAAAAACGC CAGCAACGCG GCC (SEQ. ID. NO. 2), and analogs thereof.

In a preferred embodiment, the colE1 origin of replication in the polynucleotide vector comprises the sequence:

This fragment of the colE1 replicon was isolated by digesting pBR327 with Bst Y1 and Ava I. After isolation of the fragment by agarose gel electrophoresis the ends were polished to blunt with klenow fragment (large fragment) of DNA polymerase I. Then this fragment was ligated into pBluescript SK at the Sma I site and orientation was determined such that the orientation of the replicon was anti-parallel to the origin of replication of the pBluescript. The SupF fragment was isolated and ligated as described herein, orientation was again determined such that the SupF was read in an anti-parallel direction to the RANTES promoter and the putative target sequence in the completed vector.

A sequence which will provide a mechanism for selection and growth of recombinant plasmids in bacteria or yeast is provided in the vector construct. However, the polynucleotide vectors does not contain foreign antibiotic resistance genes. The sequences may be non-human derived sequences. The polynucleotide vector uses sequences such as suppressor tRNA genes including but not limited to as

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SupE, SupP, SupD, SupU, SupF, SupZ, glyT, glyU, SerP, psu⁺, psu⁺-C34, psu⁺am, psu⁺OC (Eggertson, G. et al, <u>Am. Soc. Microbiology</u>, vol. 52:354-374, 1988), and synthetic supF complementation tRNA gene, derivatives thereof (Brown, El. et al. <u>Methods in Enzymology</u> Vol. 68:109-51, 1979) and the like to provide a mechanism for selection and growth.

The synthetic supF complementation tRNA gene (143) provides the mechanism for selection and growth of recombinant plasmids in a manner analogous to that used in eukaryotic expression cloning using the 'Seed' vector (pCDM8 or derivatives). This selection is dependent upon the presence of the 60kb p3 helper plasmid which contains inactive tetracycline and ampicillin resistance genes due to amber stop codon mutations which are complimented by the supF tRNA. The p3 helper plasmid is derived from pLM-2 as described in Mindich, L. et al. <u>J. Bacteriology</u> vol. 126, pp. 177-182, 1976. The supF tRNA is not functional in eukaryotic cells.

In one embodiment, a Sup F gene sequence for use in the polynucleotide vector comprises:

GAATTCTTTC GGACTTTTGA AAGTGATGGT GGTGGCCGAA GGATTCGAAC CTTCGAAGTC GATGACGCA GATTTAGAGT CTGCTCCCTT TGGCCGCTCG GGAACCCCAC CACGGGTAAT GCTTTTACTG GCCTGCTCCC TTATCGGGAA GCGGGGCGCA TCATATCAAA TGACGCGCCG CTGTAAAGTG TTACGTTGAG AAAGAATTC (SEQ. ID. NO. 3), and analogs thereof.

The synthetic supF complementation tRNA gene may be isolated from the vector, pVX, which is present in the bacterial strain 39083 available at the American Type Culture Collection. The Sup F sequence as it reads in the polynucleotide vector is antiparallel and comprises:

1 GAATTCTTTC TCAACGTAAC ACTTTACAGC GGCGCGTCAT TTGATATGAT GCGCCCCGCT TCCCGATAAG GGAGCAGGCC AGTAAAAGCA TTACCCGTGG TGGGGTTCCC GAGCGGCCAA AGGGAGCAGA CTCTAAATCT GCCGTCATCG ACTTCGAAGG TTCGAATCCT TCCCCCACCA CCATCACTTT CAAAAGTCCG AAAGAATTC (SEQ. ID. NO. 4), and analogs thereof.

Promoters for use in the vector are human promoters or functional portions thereof, promoters derived from or synthesized based on a human promoter, and mammalian homologs of human promoters, or portions thereof. The promoters for use in the polynucleotide vector of the present invention do not encompass viral promoters or viral derived promoters. The human promoters are selected on the basis

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of the tissue and cells to be targeted and should provide optimal expression of the target antigen(s) or antigenic epitopes thereof in the selected mammalian target tissue and mammalian cells. Embodiments of promoters which may be used in constructing the polynucleotide vector of the present invention include but are not limited to the human derived RANTES promoter (Nelson, P.J. et al. J. Immunol. Vol. 151:2601-33, 1993; Ortiz; B.D. et al. Molecular Cell. Biol. pp 202-210, 1996 (Jan); Nelson, P.J. Immunol Vol. 157, No. 3, 1996 (August 1)), truncated RANTES promoters and derivatives thereof. Truncated RANTES promoters include but are not limited to a 249 base pair fragment, a 440 base pair fragment and a 900 base pair fragment. In a preferred embodiment, the truncated RANTES promoter is a base pair fragment of approximately 440 base pairs. A preferred truncated RANTES promoter is a fragment that spans the region approximately between the NCO restriction endonuclease site through the KpnI site of the RANTES promoter as described in GenBank Accession No. S64885. The human derived promoter is selected based on the mammalian target tissue and mammalian target cell in which expression of the target antigen(s) is The target tissue is one containing antigen presenting cells or easily desired. accessible to antigen presenting cells. Such tissue include but is not limited to muscle, lymph nodes, epithelium, subepithelium, skin, and the like. In one embodiment, the promoter is active in muscle or skin. Promoters may express one or more target antigen(s) or antigenic epitope thereof in muscles cells or professional antigen Preferred are promoters which express target antigen(s) in presenting cells. professional antigen presenting cells such as monocytes, macrophages, dendritic cells, Langerhans cells and the like.

The 3' splice sequence and the poly A sequences in the polynucleotide vector are a mammalian sequence, or a synthetic sequence based on a mammalian sequence, preferably a human sequence or mammalian homolog thereof. Possible sources of these elements include but are not limited to bovine growth hormone, human growth hormone gene, and the like. In a preferred embodiment of the polynucleotide vectors the 3' splice (intron) and the poly A sequences are synthetic sequences based on sequences from the human growth hormone gene (DeNoto, F.M. et al. Nuc. Acids. Res. Vol. 9(15):371930, 1981). Oligonucleotides may be synthesized based on the published sequence or modified to condense the third intron and poly A tail sequences

along with the appropriate splice functions. Poly A-signal sequences which may be used in constructing the polynucleotide vector include but are not limited to:

AATAAA (SEQ. ID. NO. 5)

ATTAAA (SEQ. ID. NO. 6)

AGTAAA (SEQ. ID. NO. 7)

AAGAAC (SEQ. ID. NO. 8)

AATACA (SEQ. ID. NO. 9)

and the like.

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In one embodiment, a combined 3' splice sequence and poly A tail sequence for incorporation into the polynucleotide vector construct includes but is not limited to:

5' GCCTTAAGGG CCATATGGTG AGTGGATCCC TTGACCCCAG GCGGGGATGG
3' GGAATTCCC GGTATACCAC TCACCTACGG AACTGGGGTC CGCCCCTACC

GGAGACCTG TAGTCAGAGC CCCCGGGCAG CACAGGCCAA TGCCCGTCCT CCCTCTGGAC ATCAGTCTCG GGGGCCCGTC GTGTCCGGTT ACGGGCAGGA

TCCCCTGCAG GATGAGTAGT GAGTGCCTCT CCTGGCCCTG GAAGTTGCCA AGGGGACGTC CTACTCATCA CTCACGGAGA GGACCGGGAC CTTCAACGGT

CTCCAGTGCC CACCAGCCTT GTCCTAATAA AATTAAGTTG CATCATTTTG GAGGTCACGG GTGGTCGGAA CAGGATTATT TTAATTCAAC GTAGTAAAAC

Operably located downstream of the promoter and upstream of the splice and

TCTGACTAGG TGTCCTCTAT AATATTAT 3' (SEQ. ID. NO. 10)

AGACTGATCC ACAGGAGATA TTATAATA 5' (SEQ. ID. NO. 11)

poly A sequences, is the cloning site and stuffer site. The sequence acceptance site is functional in mammalian cells, preferably human cells. The sequence acceptance site is synthetically constructed, however, the sequences are derived from sequences that function in human cells. The sequence acceptance site is designed to directionally accept sequence-specific products from rtPCR-based cloning strategies via unique sequences within the interrupted palindrome recognition sequence for the Bgl I restriction endonuclease, which is incorporated into the PCR primers. The 5' cloning site of the vector was designed in such a way as to provide an integral Kozak consensus sequence (145) and an in-frame initiation codon. Oligonucleotides may be

synthesized for the sequence acceptance site so as to provide an initiation codon,

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<u>.</u> 30 Kozak consensus sequence and downstream termination codon. Kozak consensus sequence which may be incorporated into the polynucleotide vector includes but is not limited to:

GCCCGCC (either A or G) CCAUGG and the like, as are known in the art (Kozak, M. J. Cell Biol. vol. 108, pp 229-241, 1989).

The polynucleotide vector may be constructed to allow for insertion of a internal ribosomal entry site (IRES). Such a site allows for translation of a second open reading frame from a polycistronic mRNA molecule. Such IRES elements are described by Sachs et al., Cell June 13, 1997 Vol. 89: 831-838; Chen, C-Y et al. Science Vol. 268, pp. 415-417, 1995; Tahara, H. et al. I Immunol pp. 6467-6474, 1995; Joshi, C. et al. Nucleic Acids Research Vol. 23, No. 4, pp. 541-549, 1995; Ting, J. et al. DNA Vol. 7, No. 4, pp 275-286, 1988; and Aharon, T. et al. Molecular Cellular Biology, Mar 1993, pp 1971-1980. A polynucleotide vector comprising an IRES allows for translation of more than one target antigen or epitope from the polycistronic mRNA. A polynucleotide vector comprising an IRES allows expression of a target antigen and a cytokine or chemokine from a polycistronic mRNA.

A stuffer site is provided in the vector as a space occupying, non-coding fragment of DNA. A stuffer site may be synthetically synthesized. In one embodiment the stuffer site has the nucleic acid sequence:

CCTCGGTACCTGCCATGGCGCGGATTCTTTATCACTGATAAGTTGGTGGA CATATTATGTTTATCAGTGATAAAGTGTCAAGCATGACAAAGTTGCAGCC GAATACAGTGATCCGTGCCGGCCCTGGACTGTTGAACGAGGTCGGCGTAG ACGGTCTGACGACACGCAAACTGGCGGAACGGTTGGGGGTGCAGCAGCC GGCGCTTTACTGGCACTTCAGGAACAAGCGGGCGCCTTAAGGGCCATATG CCG (SEQ. ID. NO. 12), and variants thereof.

This stuffer region sequence is based on the stuffer region in vector pCDM8 (In Vitrogen). The sequence of the stuffer is not critical as long as it is one which does not interfere with cloning of the rt-PCR target sequence and does not contain a BglI site. The stuffer site is not present in the final polynucleotide vector vaccine, as it is excised.

In one embodiment of the present invention the polynucleotide vector

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comprises pITL as schematically depicted in Figure 1. cDNA encoding one or more target antigen(s) or antigenic epitopes thereof may be ligated into the cloning site or sequence acceptance site depicted in Figure 1. The sequence acceptance site is designed to directionally accept sequence specific products from rtPCR based cloning strategies via unique sites within an interrupted palindrome recognition sequence for a restriction endonuclease which is incorporated into the PCR primer. In one embodiment, the pallidrome recognition sequence is for the Bgl I restriction endonuclease. In a preferred embodiment the sequence acceptance site is as depicted in Figure 2 in which the 5' acceptance site reads on the (+) strand as the sequence GCCA/CCATGGCc wherein the GCC sequence is recognized by Bgl I and ATG is the start codon. GCc keeps the sequence in-frame and encodes the amino acid serine. The 3' acceptance site reads on the (+) strand as GCCTTAAGGGC.

Any exogenous gene may be inserted into the polynucleotide vector and expressed in a host cell or host tissue. Of interest are exogenous genes which are associated with diseases or pathological conditions in humans. Of particular interest are the antigens or antigenic epitopes thereof which are useful in stimulating an immune response in a mammal, preferably a human for the purpose of prevention or treatment of a disease or pathological condition.

The polynucleotide vaccine vector is useful as a single target antigen vaccine and as a multi-target antigen vaccine. Of interest are target antigens derived from intracellular organisms and the host intracellular compartment. The target antigen includes but is not limited to tumor antigen, bacterial, viral, parasitic antigen and the like. Such antigens include but are not limited to antigens or antigenic epitopes derived from rabies virus, plasmodium, Herpes Virus, HIV 1, HIV 2, influenza virus, HBV, HCV, SIV, Cytomegalovirus, Mycobacterium, Measles virus, papillomavirus, and the like. The polynucleotide vector is particularly well suited for expression of tumor associated genetic derangements, which encompass aberrant transcription regulatory controls on oncogene mutation, a dysregulated developmental or oncofetal gene, a mutated tumor suppressor gene, dysregulated cellular enzymes coding sequences such as metaloproteases or combinations thereof. Tumor antigen or antigenic epitopes thereof which may be expressed by the polynucleotide vector include but are not limited to p53, RB, ras, int-2, Hst, Tre17, BRCA-1, BRCA-2,

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MUC-1, HER-2/neu, PEM/MUC-1, and the like. The polynucleotide vector is also well suited for insertion of one or more target antigens or antigenic epitopes thereof derived for an individual's tumor. The nucleic acid sequences encoding one or more target antigens is ligated into the cloning site of the polynucleotide vector thereby forming the polynucleotide vector vaccine.

The nucleic acid sequence encoding a cytokine and/or chemokine may also be incorporated into the polynucleotide vector vaccine for enhancement of an immune response. Such cytokines include but are not limited to interleukin 2, interleukin 4, interleukin 7, granulocyte-monocyte colony stimulating, factor (GM-CSF), tumor necrosis factor (TNF), interferon, interleukin 12, interleukin 3, interleukin 15, interleukin 8, interleukin 18 and the like. Nucleic acid sequences encoding chemokines which may incorporate into the cloning site of the polynucleotide vector vaccine include those that recruit lymphocytes and antigen presenting cells into a target tissue. These include but are not limited to RANTES, MCP, MIP- α , MIP- 1β , defensins and the like.

In one embodiment, the polynucleotide vector vaccine comprises a gene encoding a target antigen or epitope thereof and a gene encoding GM-CSF or functional portion thereof. The polynucleotide vector allows expression of both the target antigen or epitope thereof and GM-CSF in the target cell or target tissue resulting in an enhanced immune response to the target antigen or epitope thereof.

The present invention encompasses methods of making a humanized polynucleotide vector which comprises operably linking an origin of replication with a nucleotide sequence which provides a mechanism for selection, which in turn is operably linked to a human derived promoter or mammalian homolog thereof which is functional in a mammalian target tissue and mammalian target cells. The promoter is operably linked with a cloning site containing a sequence acceptance site which directionally accepts target sequence specific products from rtPCR cloning. The 5' sequence acceptance site comprises an initiation codon and Kozak consensus sequence. The 3' sequence acceptance site comprises termination codons (Figure 2). The method also provides a stuffer region, two separate in1 frame termination codons, a human derived 3' splice (intron) or mammalian homolog thereof and a human derived poly A sequence.

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The present invention also provides a method of preparing a polynucleotide vector vaccine in which one or more nucleic acid sequences encoding one or more target antigens or antigenic epitopes thereof are incorporated. The method of making the substantially humanized polynucleotide vector vaccine provides a product able to express stable mRNA for one or more target sequences.

For vaccination against a tumor in a patient, the construction of the polynucleotide vector vaccine is tailored to the individual's tumor antigen or antigens. Most potential tumor antigens are recognized as peptides derived from intracellular proteins, some of which are dysregulated or mutated oncogene products (74, 8, 9, 30, 53, 71, 132b, 133b, 134b, 135b, 136, 138) as opposed to unique, intact cell surface molecules. Therapies such as monoclonal antibodies or peptide vaccine strategies are generally directed against a single tumor associated antigen and as such are confronted by the fundamental issue of intratumoral antigenic heterogeneity and are limited in their scope of applicability due to both intertumoral antigenic heterogeneity and the nature of most tumor-associated antigens. The multiple and diverse set of molecular events involved in neoplastic transformation, in the evolution to a malignant/metastatic phenotype, and in resistance to therapy provides a foundation for devising novel therapeutic strategies as each molecular event has the potential to generate a tumor specific antigen (139-141). Current molecular techniques and the recently described polynucleotide vaccine strategy (102, 132a, 133a, 134a, 135a, 103) allow a novel antigen-specific, anti-tumor, immunomodulatory therapeutic strategy. Polynucleotide vaccine strategies have been shown in animal models to elicit a broad antigen-specific immune response including humoral, proliferative and cytolytic T cell responses. The present invention addresses the issues of tumor heterogeneity and takes advantage of this phenomena to generate a highly specific yet broad anti-tumor immune response directed against multiple defined tumor-specific antigens.

Using RT-PCR technology, it is possible to isolate target cDNA sequences encoding antigenic polypeptides from individual tumors and to use this nucleic acid template in the polynucleotide vector to induce an immune response to the uniquely mutated or dysregulated intracellular tumor associated proteins in individuals immunized with the vaccine.

mRNA isolated from a patient's tumor is subjected to rtPCR by standard

techniques. PCR primers are used to amplify, clone into the vector of the present invention and subsequently evaluate, by thermocycle sequencing, the resultant cDNA for mutations. If mutated sequences are identified and present in at least about 20% of clones analyzed, the sequences are used as a component of the polynucleotide vaccine of the present invention. For the oncofetal proteins, such as int-2 and Hst, demonstration of the presence of mRNA is sufficient for constructs with the oncofetal sequences to form part of the polynucleotide vaccine. Tumors which express MUC-1 or HER-2/neu antigens by immunohistochemistry have monomorphic constructs for these two tumor antigens which are ligated into the polynucleotide vector. Thus, each individual patient may receive a unique set of plasmid constructs depending upon the repertoire of mutated oncogenies, mutated tumor suppressor genes, expressed oncofetal genes, and disregulated monomorphic antigens. Examples of such include but are not limited to nucleic acid sequences for c-erb- β-2/HER2/neu, PEM/MUC-1, Int-2. Hst, BRCA-1, BRCA-2, truncated EGFRvIII, MUC-1, CEA, p53, ras, RK, Myc, Myb, OB-1, OB-2, BCR/ABL, GIP, GSP, RET, ROS, FIS, SRC, TRC, WT1, DCC. NF1, FAP, MEN-1, ERB-B1 (Cell vol. 64:pp235-326, Jan. 25, 1991) and the like, which are inserted into the polynucleotide vector.

In one embodiment, the polynucleotide vector vaccine of the present invention include but are not limited to: pITL-HER2/neu, pITL-PEM/MUC-1, pITL-Int-2, pITL-Hst, pITL-BRCA-1, pITL-BRCA-2, pITL-p53, pITL-ras, pITL-RB, pITL-TRE17 and the like.

The polynucleotide vector vaccine of the invention may be formulated into a pharmaceutical composition comprising the vector vaccine and a pharmaceutically acceptable carrier such as physiological saline and the like, as known in the formulation art. The pharmaceutical composition may comprise one or more different polynucleotide vectors depending on the needs of the patient to be treated. The pharmaceutical composition may also comprise an agent which enhances the uptake and expression of the polynucleotide vaccine into the target tissue and target cells. These agents include but are not limited to mycotoxic agents. Such mycotoxic agents include but are not limited to dextrose, bupivacaine HCl (1-butyl-N-[2,6-dimethyl-phenyl]-2-piperidine carboxamide) (Sigma, St. Louis, MO), and the like.

The present invention also encompasses methods of stimulating an immune

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response, cellular and humoral, against a target antigen or antigenic epitope with little or no toxicity or autoimmune reactions using the polynucleotide vector vaccine. In the case where the target antigen is a tumor antigen or antigens, the immune response generated by the method should result in generation of cytotoxic T lymphocytes which are capable of inhibiting or killing tumors cells or premalignant cells expressing the tumor antigen(s).

In the method of treatment, at least one polynucleotide vector vaccine comprising a nucleotide sequence of the target antigen or multiple antigens is administered to a patient in a dose of at least about 1 μ g per component, preferably at doses of about 1 μ g to about 1 mg per DNA per construct, although higher or lower doses may be used. The dose is administered intramuscularly (IM) or by cutaneous ballistic impregnation in a volume of about 0.1 ml to a volume of about 1 ml. Preferably the volume is about 0.5 ml per injection. In one embodiment, the dose of vaccine is administered at three week intervals for a total of three doses.

In one embodiment of the method of treatment, each intramuscular injection of the polynucleotide vaccine is preceded by IM injection of an expression enhancing agent approximately 24 hours before vaccine administration. The enhancing agent may be bupivacaine-HCl, dextrose, and the like. In the case of dextrose, a concentration of about 10 to about 50% is administered, preferably a concentration of about 50%. Preferably the enhancing agent is bupivacaine-HCl and functional equivalents. Bupivacaine-HCl is administered in a concentration of about 0.25% to about 1.0%, preferably a concentration of about 0.5% in a volume of 0.5 ml.

In another embodiment of the method of treatment, the polynucleotide vector vaccine is administered using gene gun techniques. In this embodiment, the polynucleotide vector vaccine is adsorbed on to particles and administered by bombardment of the particles into the target tissue such as skin. (Yang, N.S., et al. 1990, Proc. Nat'l. Acad. Sci. USA 87; 9568; Williams, R.S. et al. 1991, Proc. Nat'l. Acad. Sci. USA 88:2726; Fynan, E.R.G. et al. Proc. Nat'l Acad. Sci. USA 90:11478; Eisenbraun, M.D. et al. DNA and Cell Bio. 1993 12:791).

Patients may also receive conventional cancer therapy prior to the polynucleotide vaccine therapy. Treatments that induce or result in immunodepression are discontinued a minimum of 4 weeks prior to immunization with the polynucleotide

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In the case of viral, bacterial, yeast, parasitic infections, appropriate vaccine. antiviral, antibiotics, antifungals and the like may be administered prior to, concurrent with, or after administration of the polynucleotide vaccine.

Patients are monitored at periodic intervals to access the efficacy of the treatment. Tumor burden of the individual is monitored. The immune response to each component of each individual's vaccines preparation is evaluated. This is accomplished by evaluating the proliferative and cytolytic response to autologous cells transfected with single individual components (plasmid constructs). Tumor specific CTLp frequency is assessed for each patient. Anti-tumor humoral responses are assayed by staining of autologous tumor cells and analysis by fluorescent cell scanning (FACS) or the whole cell ELISA technique. Immune responses induced by polynucleotide vector vaccines containing nucleic acid sequences encoding virus, bacterial, yeast, parasite target antigens may be measured using standard immunoassays as are known in the art.

Polynucleotide vaccine therapy is applicable to any cancer in which a genetic defect is identified. Such cancers include but are not limited to breast cancer, prostate cancer, lung cancer, liver cancer, melanoma, colorectal cancer, pancreatic cancer, thymoma, sarcoma, non-Hodgkins lymphoma, Hodgkins lymphoma, leukemia, ovarian cancer and the like. Of particular interest is breast adenocarcinoma.

The invention further comprises an isolated antibody or antigen binding site thereof elicited by immunization with the polynucleotide vector vaccine. The antibody has specificity for and reacts or binds with the target antigen or antigenic epitope thereof. The antibody may be polyclonal, monoclonal, chimeric antibody or may be single chain antibody produced by genetic engineering. (U.S. Patent No. 4,946,778; Milenic et al Cancer Res. Vol 51:6363-6371, 1991; Shu et al Proc. Nat'l Acad. Sci USA, Vol. 90:7995-7999, 1993; Bird et al Science, Vol. 242:423-426, 1988; Traunecker et al The EMBO J., Vol. 10(12):3655-3659, 1991; Oi et al BioTechniques, Vol. 4, No. 3:214-221, 1986). Monoclonal antibody may be produced by in vitro or in vivo target antigen stimulation. In one embodiment, target antigen specific B lymphocytes are isolated from a human immunized with a polynucleotide vector vaccine comprising as sequence encoding the target antigen. Human hybridomas are produced by methods known in the art and the monoclonal

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antibody isolated and purified. (Cairns et al <u>J. Clin. Invest.</u>, Vol. 74:880-887, 1984; Nakamura et al <u>J. Immunol.</u>, Vol. 141, No. 12:4165-4172, 1988).

The antibody and antigen binding site thereof is useful as a therapeutic and also as a diagnostic agent to detect target antigen or antigenic epitope thereof in tissue and biological samples using standard immunoassays. (Yokota, et al <u>Cancer Res.</u>, Vol. 52:3402-3408, 1992; Colcher et al <u>Cancer Res.</u>, Vol. 48:4597-4603, 1988).

While the invention is described above in relation to certain specific embodiments, it will be understood that many variations are possible, and that alternative materials and reagents can be used without departing from the invention. In some cases such variations and substitutions may require some experimentation, but will only involve routine testing.

The foregoing description of the specific embodiments will so fully reveal the general nature of the invention that others can, by applying current knowledge, readily modify and/or adapt for various applications such specific embodiments without departing from the generic concept, and therefore such adaptations and modifications are intended to be comprehended within the meaning and range of equivalents of the disclosed embodiments.

All references and patents referred to are incorporated herein by references.

EXAMPLE 1

Construction Of The Vector

A plasmid, pITL was designed to contain minimal exogenous DNA and to be maximally "humanized", with elements of the plasmid except the origin of replication and selection element (supF) being derived from human genetic elements. (Figure 1).

Standard molecular techniques were used for the following manipulations. From piVX a 207 base sequence (SupF) is excised using ECO RI and gel purified. This is ligated into the ECO RI site of the cloning vector pBluescript II KS (Strategene, La Jolla, CA). The minimal colE1 origin of replication (142) is utilized for plasmid replication and growth within permissive strains of *E. coli*. It was excised from pBR327 (ATCC #37516) using Sau 96 and Bfa I enzymes as a double digest and the 453 base pair fragment gel purified. This fragment is 'polished', that is the overhangs from these digests are filled in with DNA polymerase I (Klenow) large

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fragment. This blunt end, 'polished' fragment is ligated into the Sma I site of pBluescript II KS in which the SupF gene has previously been ligated into the ECORI site. The resultant product of these 2 ligations consists of pBluescript KS with the SupF gene and a second Coll origin of replication, both located within the multiple cloning site.

The splice poly A sequence was synthesized and inserted into the vector pGEM 7f+ between the Hind II and Xho I sites.

The unique directional cloning site or sequence acceptance site is upstream of two separate in frame termination codons, a 3-prime splice (intron), and poly-A sequences (the latter two synthetic sequences based on the human growth hormone gene) (144). These synthetic sequences were directionally inserted into pGEM-7F at the Xho and HindIII sites (Genosys, The Woodlands, TX). This vector is digested with Bam HI and HIND III. Likewise, the product from the minimal colE1, SupF, pBluescriptII KS is digested with these same enzymes. These two resultant purified, double digests are ligated together. The product of this ligation contains pGEM7F+ with the synthetic splice poly A sequence, minimal col E1 origin of replication and SupF gene all with the multiple cloning site.

The stuffer region is isolated from pCDM8 by using the following PCR primers to amplify an approximately 200 base pair portion of the stuffer (the exact PCR product will be 253 bases but, incorporates sequence modifications as detailed below). The upper primer (positive strand or 5') is CCTCGGTACCTGCCACCATGGCGCGGATTCTTTAT (SEQ. ID. NO. 13) and spans the 2217 to 2252 bases of pCDM8 but, diverges at 2235 to accommodate linking restriction sites in this case the 5' Bgl I cloning site (Figure 2) and a Kpn I site at the upstream, 5' end. This is important later for promoter ligation. The lower primer 3 0 n d CGGCATATGGCCTTAAGGCGCCCGCTTGTTCCTGAAGT (SEQ. ID. NO. 14) and spans the 2394 to 2433 bases of pCDM8 but, diverges at 2454 to accommodate linking restriction sites in this case the 3' Bgl I cloning site (see Figure 2) and a Nde I site at the upstream, 5' end. This allows isolation of a fragment without a Bgl I site or any other conflicting sites. This fragment is digested with Nde I and gel purified. The pGEM product from above is digested with Nde I (site engineered into synthetic

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sequence) and Stu I which results in a blunt end on the 5' end. The fragment isolated above is ligated into this digested construct, i.e., Nde I end to Nde I end and blunt to blunt.

The following is the splice poly A signal sequence cloned into the Xho and Hind III sites of pGEM-7Zf reading from Xho site to Hind III site. Bold is intron 3, underline is poly A signal sequence, double underline is cloning site and potential site for internal ribosomal entry sites (IRES), with the remainder from the fifth exon but, following the stop codon:

<u>gCCTTAAgggCCATATgg</u>TgAgTggATgCCTTgACCCCAggCggggA TgggggAgACCTgTAgTCAgAgCCCCCgggCAgCACAggCCAATg CCCgTCCTTCCCCTgCAggATgAgTagTgAgTgCCTCTCCTggCCC TggAAgTTgCCACTCCAgTgCCCACCAgCCTTgTCCTAATAAAA TTAAgTTgCATCATTTTgTCTgACTAggTgTCCTCTATAATATA T (SEO. ID. NO. 15)

All triplets in italics are stop codons. After processing of punitive mRNA, excision of intron 3, the sequence will contain duplicate stop codons in two reading frames. The 3rd reading frame can contain a stop codon by mutagenizing the double underlined sequence resulting in deletion of last "g" of the double underlined sequence.

The RANTES promoter construct has a Kpn I site at its 3' end and depending upon the size of the truncation, various restriction sites at the 5' end. From pGL-RANTES the 249 base fragment is excised using Kpn I and Sac I enzymes. The product of the above paragraph is likewise digested with Kpn I and Sac I. The two digests, 249 bases and 1176 bases respectively, are gel purified an ligated together resulting in the intact vector pITL-1.

EXAMPLE 2

Construction Of Minimal Promoter Elements For Expression In Skeletal Muscle, Monocytes And Dendritic Cells

The choice of promoter sequence included in the vectors is critical as the promoter must be functional in the mammalian target tissues preferably human tissues and drive the expression of the mRNA at an appropriate level. The human RANTES

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promoter is one promoter useful in the present invention because it is smaller than the CMV or b-actin promoters, is functionally between the two in terms of activity, and is functional in the desired tissues (146). This promoter is highly conserved between human, rat and mouse (146, 147). This promoter has been well characterized and contains myoD like elements functional in skeletal muscle cells along with elements known to be active in cells of the reticuloendothelial system. The minimal promoter elements necessary for expression in lymphocytes has been determined (146, 147). Constructs with a series of 5' truncations of the RANTES promoter upstream of the luciferase reporter are constructed in order to evaluate the minimal promoter elements required for transcriptional activity in cells of interest. Such truncated RANTES promoters include a 249,440 and 990 base pair fragments.

Primary cultures of skeletal muscle cells were purchased (Clonetics, San Diego, CA) and cultured according to manufacturers directions. These cells can be transiently transfected with the control plasmid pGreen Lantern (Gibco, BRL, Gaithersburg, MD) using the cationic lipid mixtures Lipofectin and Lipofectamine (Gibco, BRL, Gaithersburg, MD) with only slight modifications from the manufacturer's suggested protocol. These modifications include trypsinizing cells and replanting 20 minutes before transfection and exposing the cells to the lower concentrations of cationic lipids. The previously described pGL-RANTES promoter constructs, pGL-basic and pGL-control vectors are transfected similarly and luciferase activity is assayed after 72 hours using the Luciferase Assay System (Promega, Madison, WI). To control for transfection efficiency pCMV-βGal (Clontech, San Francisco, CA) is cotransfected with the above plasmids and assayed using Galactolight Plus (Tropix Inc., Bedford, MA). Luminescence is determined for both assays on an existing LKB scintillation counter.

Monocytes are isolated by elutriation from normal donor leukapharesis packs. The elutriation protocols provides an 90-95% pure monocyte population as evidenced by FACS analysis for CD1-a, CD3, CD14, CD16, CD19, CD45RO, CD56, CD80, HLA-DR and HLA-DQ. The remaining 5% of cells are granulocytes/basophils. FACS analysis of MHC class II and CD25 expression reveals that these cells are not activated after the isolation procedure. Routinely 5 x 10⁸ monocytes are isolated from a given leukopharesis pack. The same series of constructs and assay conditions are

evaluated using these cellular preparation. The transfection procedure are modified for suspended cells, but utilize cationic lipid mixtures are above.

The recently reported ability to isolate dendritic cells (DCs), defined by phenotype and functional assays, from peripheral blood mononuclear cells (148) makes it possible to assay for promoter activity in this cellular population. Following elutriation, monocytes are placed into culture with IL-4 and GM-CSF as reported by Sallusto and Lanzavecchia (148). After 48 hours a representative sample is evaluated by FACS for DC phenotype, i.e., CD3-, CD19-, CD14-, CD1a-c+, CD80+, HLA-DR+, and HLA-DQ+. Upon demonstration of DC phenotype, cationic lipid transient transfections are carried out as above with identical assay procedures followed.

Upon conclusion of these experiments the appropriate promoter fragment is determined based on the activities in the above three cellular preparations. Preferred, is a promoter which drives optimal expression in muscle cells, or antigen presenting cells of the target antigen(s). The promoter fragment is ligated into the pITL vector. This constitutes the base vector. The vector is transfected using the standard heat shock method into frozen competent DH10 β /p3 E. coli bacteria (Gibco BRL, Gaithersburg, MD), grown under ampicillin and tetracycline selection as previously described. The cloning site of the base vector is the location of all subsequent cloning of reporter gene sequences or target antigen sequences.

The computer generated nucleic acid sequence which approximates the sequence of one base vector, pITL, is as follows:

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TGCCATGGCG	CGGATTCTTT	ATCACTGATA	AGTTGGTGGA	CATATTATGT
TTATCAGTGA	TAAAGTGTCA	AGCATGACAA	AGTTGCAGCC	GAATACAGTG
ATCCGTGCCG	GCCCTGGACT	GTTGAACGAG	GTCGGCGTAG	ACGGTCTGAC
GACACGCAAA	CTGGCGGAAC	GGTTGGGGGT	GCAGCAGCCG	GCGCTTTACT
GGCACTTCAG	GAACAAGCGG	GCGCCTTAAG	GGCCATATGG	TGAGTGGATG
CCTTGACCCC	AGGCGGGGAT	GGGGGAGACC	TGTAGTCAGA	GCCCCGGGC
AGCACAGGCC	AATGCCCGTC	CTTCCCCTGC	AGTGAGTAGT	GACTGCCCGG
GTGGGATCCC	TGTGACCCCT	CCCCAGTGCC	TCTCCTGGCC	CTGGAAGTTG
CCACTCCAGT	GCCCACCAGC	CTTGTCCTAA	TAAAATTAAG	TTGCATCATT
TTGTCTGACT	AGGTGTCCTC	TATAATATTA	Taagcttgat	atcgAATTCT
TTCTCAACGT	AACACTTTAC	AGCGGCGCGT	CATTTGATAT	GATGCGCCCC

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GCTTCCCGAT	AAGGGAGCAG	GCCAGTAAAA	GCATTACCCG	TGGTGGGGTT
CCCGAGCGGC	CAAAGGGAGC	AGACTCTAAA	TCTGCCGTCA	TCGACTTCGA
AGGTTCGAAT	CCTTCCCCCA	CCACCATCAC	TTTCAAAAGT	CCGAAAGAAT
Tcctgcagcc	CGTGTAGCCG	TAGTTAGGCC	ACCACTTCAA	GAACTCTGTA
GCACCGCCTA	CATACCTCGC	TCTGCTAATC	CTGTTACCAG	TGGCTGCTGC
CAGTGGCGAT	AAGTCGTGTC	TTACCGGGTT	GGACTCAAGA	CGATAGTTAC
CGGATAAGGC	GCAGCGGTCG	GGCTGAACGG	GGGGTTCGTG	CACACAGCCC
AGCTTGGAGC	GAACGACCTA	CACCGAACTG	AGATACCTAC	AGCGTGAGCA
TTGAGAAAGC	GCCACGCTTC	CCGAAGGGAG	AAAGGCGGAC	AGGTATCCGG
TAAGCGGCAG	GGTCGGAACA	GGAGAGCGCA	CGAGGGAGCT	TCCAGGGGGA
AACGCCTGGT	ATCTTTATAG	TCCTGTCGGG	TTTCGCCACC	TCTGACTTGA
GCGTCGATTT	TTGTGATGCT	CGTCAGGGGG	GCGGAGCCTA	TGGAAAAACG
CCAGCAACGC	GGCCggggga	tccggaGAGC	TCACTCTAGA	TGAGAGAGCA
GTGAGGGAGA	GACAGAGACT	CGAATTTCCG	GAGCTATTTC	AGTTTTCTTT
TCCGTTTTGT	GCAATTTCAC	TTATGATACC	GGCCAATGCT	TGGTTGCTAT
TTTGGAAACT	CCCCTTAGGG	GATGCCCCTC	AACTGGCCCT	ATAAAGGGCC
AGCCTGAGCT	GCAGAGGATT	CCTGCAGAGG	ATCAAGACAG	CACGTGGACC
TCGCACAGCC	TCTCCCACAG G	TACC 1425 ba	se pairs (SEC	Q. ID. NO. 16),
and variants the	ereof.			

The minimal ColE1 origin of replication comprises base pairs 712 through 1164 of pITL, the SupF sequence comprises base pairs 495 through 701, the minimal RANTES promoter comprises base pairs 1177 through 1425, the stuffer sequence comprises base pairs 1 through 221 and the combined splice and poly A sequences comprises base pairs 222 through 481. Base pairs 482 through 494, 602 through 611, and 1165 through 1176 are extraneous, noncoding sequences derived from plasmids from which the component sequences were excised from (sequences in lower case in pITL sequence).

An enzyme restriction map of pITL is provided in Figure 5.

EXAMPLE 3

Purification Of Vector

The vector, pITL, requires the presence of the p3 helper plasmid for appropriate selection and subsequent isolation. However, the p3 helper plasmid is not part of the therapeutic product. Standard plasmid DNA isolation techniques cannot

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selectively isolate pITL (1.5 - 2.0 kb) from the much larger p3 plasmid (60kb). To avoid administering a contaminating plasmid as part of the polynucleotide vaccine preparation, a separate isolation procedure is established based on anion exchange Anion exchange resins have a higher affinity for large DNA molecules than small molecules at any given NaCl concentration. The 30 fold larger p3 plasmid will be retained at a NaCl concentration in the elution gradient which releases the pITL. After standard alkaline/SDS lysis of large volume culture, digestion with RNAse A at 100ug/ml, and isopropanol precipitation the resultant pellet is resuspended in TE and applied to the anion exchange column. Small disposable Nucleobond AX columns (Nest Group Inc., Southborough, MA) or MonoQ column (Pharmacia Biotech, Piscataway, NJ) are run on an FPLC system (Pharmacia Biotech, Piscataway, NJ) with a NaCl gradient from 0.01M to 3M. The covalently closed circular (CCC) form of the pITL construct is eluted at less than 1M NaCl while the p3 plasmid is retained. The open circular (OC) form of the pITL plasmid is eluted at a lower NaCl concentration in the gradient and is discarded. Columns are used only once to avoid cross contamination. The resultant retained (CCC) fractions are precipitated with ethanol at -20°C. The pellet is resuspended with sterile PBS and stored aseptically at-20°C until use. Purity of the preparation is assessed by agarose electrophoresis and ethidium bromide staining of undigested and HindIII digested Hind III digests linearize both pITL and the p3 helper plasmid. An ultraviolet spectra across the 240nm to 320 nm range is also obtained on an existing DU 65 UV/Vis spectrophotometer (Beckman, Fullerton, CA). Sample aliquots are retained for microbiological evaluation as needed in the animal experiments. The polynucleotide vector of the present invention is greater than 95% pure, preferably greater than 99% pure, more preferably greater than 99.9% for use in humans.

EXAMPLE 4

Evaluation of the kinetics of expression of a reporter sequence from the plasmid pITL in an Animal Model

A rat model using Fisher 344 rats is used to evaluate the polynucleotide vaccine vector. The rat model was chosen over mouse to avoid the complication of

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. 30 the mouse mammary tumor virus which is present in most mouse mammary tumor lines and which can function as a superantigen causing significant alterations in the immune system. Cohorts of 45 rats, vaccinated with a given dose of DNA are used, for evaluation of toxicity and target sequence expression over a period of 60 days. Three individual members of each cohort are sacrificed and submitted for necropsy on days; 0, 1, 2, 3, 5, 7, 14, 21, 28, 35, 42, 49, and 56 in order to evaluate sequence expression and toxicity. Techniques of polynucleotide vaccination have been described previously (102, 132a, 133a, 134a, 107, 119). All animals receive intramuscular injections using standard sterile technique and 27 gauge needles however, in an effort to more accurately mimic the clinical situation the muscle is not surgically exposed. The lateral limb of individual animals is clipped, as needed, and cleansed with 70 % ethanol prior to injections. On day # -1 the left and right gastrocnemius muscle is injected with 200 microlitres of 0.5% bupivacaine-HCL and 0.1% methylparaben in isotonic NaCl (0.5% Marcaine, Sanofi Winthrop Pharmaceuticals or 0.5 % Sensorcaine, Astra USA, Inc.). The injection site is identified by a cutaneous tattoo, < 1 mm diameter, with sterile Indian Ink (autoclaved 20 min.) placed at the time of bupivacaine injection. On Day 0 the closed circular DNA preparation in a volume of 200 microlitres of injection grade normal saline is injected into one limb only at the same site as the bupivacaine injection as noted by the tattoo. The opposite limb will be used as a control with an equal volume of sterile injection grade normal saline, without any DNA, administered in the same fashion as above.

The "humanized" nucleic acid sequence encoding a green fluorescent protein sequence portion or variant thereof present in the Green Lantern vector (Gibco BRL, Gaithersburg, MD) is utilized. This vector results in production of a green fluorescent protein in human skeletal muscle cells in vitro and in vivo. This reporter protein has the advantage of not requiring any treatment of tissue to visualize expression and it is stable in both frozen section and formalin fixed paraffin embedded tissues. Using fluorescent microscopy trace expression of this protein is accurately identified using conditions used for evaluation of FITC labeled antibodies. Therefore, this reporter also provides the opportunity to evaluate reticuloendothelial cells by FACS to evaluate possible uptake and expression of the reporter vector. PCR primers to the green lantern protein are used with the appropriate Bgl I 5' extensions to amplify and clone

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the cDNA encoding green fluorescent protein into pITL, in a manner identical to that used to insert tumor target antigen sequences.

The construct containing the green fluorescent protein, pITL-GFP, is sequenced by standard dideoxy sequencing techniques to confirm the fidelity of the cloning process. The nucleic acid sequence for GFP target sequence contained in pITL is as follows:

ATGAGCAAG	GGCGAGGAAC	TGTTCACTGG	CGTGGTCCCA	ATTCTCGTGG
AACTGGATGG	CGATGTGAAT	GGGCACAAAT	TTTCTGTCAG	CGGAGAGGGT
GAAGGTGATG	CCACATACGG	AAAGCTCACC	CTGAAATTCA	TCTGCACCAC
TGGAAAGCTC	CCTGTGCCAT	GGCCAACACT	GGTCACTACC	TTCACCTATG
GCGTGCAGTG	CTTTTCCAGA	TACCCAGACC	ATATGAACGA	GCATGACTTT
TTCAAGAGCG	CCATGCCCGA	GGGCTATGTG	CAGGAGAGAA	CCATCTTTTT
CAAAGATGAC	GGGAACTACA	AGACCCGCGC	TGAAGTCAAG	TTCGAAGGTG
ACACCCTGGT	GAATAGAATC	GAGTTGAAGG	GCATTGACTT	TAAGGAAGAT
GGAAACATTC	TCGGCCACAA	GCTGGAATAC	AACTATAACT	CCCACAATGT
GTACATCATG	GCCGACAAGC	AAAAGAATGG	CATCAAGGTC	AACTTCAAGA
TCAGACACAA	CATTGAGGAT	GGATCCGTGC	AGCTGGCCGA	CCATTATCAA
CAGAACACTC	CAATCGGCGA	CCGCCCTGTG	CTCCTCCCAG	ACAACAATTA
CCTGTCCACC	CAGTCTGCCC	TGTCTAAAGA	TCCCAACGAA	AAGAGAGACC
ACATGGTCCT	GCTGGAGTTT	GTGACCGCTG	CTGGGATCAC	ACATGGCATG
GACGAGCTGT	ACAAGTGAGC (SEQ. ID. NO. 17), and analogs the	ereof.

The pITL-GFP DNA is prepared as described above, for the vaccination procedure. In one embodiment, the computer generated sequence which approximates the full pITL-GFP sequence comprises:

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ccqtqcagctggccgaccattatcaacagaacactccaatcggcgacggccctgtgct cctcccaqacaaccattacctgtccacccagtctgcccgtctaaagatcccaacgaaa agagagaccacatggtcctgctggagtttgtgaccgctgctgggatcacacatggcat qgacgagctgtacaagtgagcCATATGGTGAGTGGATGCCTTGACCCCAGGCGGGGAT GGGGGAGACCTGTAGTCAGAGCCCCCGGGCAGCACAGGCCAATGCCCGTCCTTCCCCT GCAGTGAGTAGTGACTGCCCGGGTGGGATCCCTGTGACCCCTCCCCAGTGCCTCTCCT GGCCCTGGAAGTTGCCACTCCAGTGCCCACCAGCCTTGTCCTAATAAAATTAAGTTGC **ATCATTTTGTCTGACTAGGTGTCCTCTATAATATTATaagcttgatatcgAATTCTTT** CTCAACGTAACACTTTACAGCGGCGCGTCATTTGATATGATGCGCCCCGCTTCCCGAT AAGGGAGCAGCCAGTAAAAGCATTACCCGTGGTGGGGTTCCCGAGCGGCCAAAGGGA GCAGACTCTAAATCTGCCGTCATCGACTTCGAAGGTTCGAATCCTTCCCCCACCACCA TCACTTTCAAAAGTCCGAAAGAATTcctgcagcccGTGTAGCCGTAGTTAGGCCACCA CTTCAAGAACTCTGTAGCACCGCCTACATACCTCGCTCTGCTAATCCTGTTACCAGTG GCTGCTGCCAGTGGCGATAAGTCGTGTCTTACCGGGTTGGACTCAAGACGATAGTTAC CGGATAAGGCGCAGCGGTCGGGCTGAACGGGGGGTTCGTGCACACAGCCCAGCTTGGA GCGAACGACCTACACCGAACTGAGATACCTACAGCGTGAGCATTGAGAAAGCGCCACG CTTCCCGAAGGGAGAAAGGCGGACAGGTATCCGGTAAGCGGCAGGGTCGGAACAGGAG AGCGCACGAGGGAGCTTCCAGGGGGAAACGCCTGGTATCTTTATAGTCCTGTCGGGTT TGGAAAAACGCCAGCAACGCGGCCggggggatccggaGAGCTCACTCTAGATGAGAGAG CAGTGAGGGAGAGACAGAGACTCGAATTTCCGGAGCTATTTCAGTTTTCTTTTCCGTT TTGTGCAATTTCACTTATGATACCGGCCAATGCTTGGTTGCTATTTTGGAAACTCCCC TTAGGGGATGCCCCTCAACTGGCCCTATAAAGGGCCAGCCTGAGCTGCAGAGGATTCC TGCAGAGGATCAAGACAGCACGTGGACCTCGCACAGCCTCTCCCACAGGTACC (SEQ ID NO. 18) and analogs thereof. The nucleic acid sequence encoding the green fluorescent protein sequence are in lower case and bolded.

Toxicity from polynucleotide vaccination, as reported in the literature (102, 132a, 133a, 134a, 135a, 103, 104-131), has been limited to minimal local inflammatory responses at the site of injection. Expression of the target protein, GFP, is expected through 60 days. The literature supports extended expression, upwards of 18 months, with other conventional vectors.

Cohort #1 consists of 45 rats each 200 microlitres of normal saline without DNA. Cohort #2 consists of 45 rats each receiving 1 microgram of pITL-GFP closed circular plasmid DNA. Cohort #3 consists of 45 rats each receiving 10 micrograms of pITL-GFP closed circular plasmid DNA. Cohort #4 consists of 45 rats each

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receiving 100 micrograms of pITL-GFP closed circular plasmid DNA. Cohort # 5 consists of 45 rats each receiving 1 milligram of pITL-GFP closed circular plasmid DNA.

Three animals from each of the cohorts # 1 through 5 are euthanized on day # 0, 1, 2, 3, 5, 7, 14, 21, 28, 35, 42, 49, and 56, either by inhaled carbon dioxide or cervical dislocation. Animals are examined at necropsy immediately following euthanasia. The following tissue specimens are submitted for histologic evaluation by H & E stained sections: skeletal muscle and skin from both injection sites, normal skin and skeletal muscle, inguinal lymph node(s), thymus, bone marrow (femur), spleen, gastrointestinal tract with Peyer's patches, heart, lung, liver, kidney, brain/spinal cord, and any other tissues which appear grossly abnormal at the time of necropsy. Tissues from these same organs are prepared and frozen in OCM media for frozen section evaluation of GFP expression by fluorescent microscopy and stored at -70°C for future studies. Blood specimens not to exceed 2 ml are collected by retro-orbital plexus or tail vein phlebotomy no more than weekly for evaluation of hematologic parameters.

If distant or significant local toxicity is detected at any of the DNA dose levels using the pITL-GFP, additional cohorts of 45 animals is treated identically but, administered pITL (without the reporter sequence) at identical dose levels to pITL-GFP and at all subsequent increased dose levels. If no toxicity is seen at any level a single cohort, #5a, 45 additional rats will receive the highest DNA dose level of pITL to confirm the absence of vector derived toxicity.

EXAMPLE 5

Evaluation of the impact of tumor presence on the kinetics of polynucleotide vaccine expression, pITL

Previous work by Ochoa and colleagues has suggested that in tumor bearing mice there is a profound immune deficit characterized by T cell receptor and signal transduction defects (149). In light of these observations, the presence of tumor might alter the stability and expression by the polynucleotide vaccine. It is possible that these defects will be manifest in this model and may result in alterations of the

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. 30 immune response to the reporter protein. This maybe manifest by increased and persistent expression or a skewing of the immune response with a predominance of antibodies and subsequent ADCC or immune complex deposition. Therefore, these parameters are evaluated in tumor bearing animals. The syngeneic rat mammary tumor line 13762 (ATCC #1666-CRL) which is known to express rat HER2/neu is utilized.

Cohorts # 6 through 10, 30 rats per cohort, involve animals with established 13762 mammary tumors. Rats are injected with 5 x 10^s cells into the subcutaneous space 14 days prior to receiving polynucleotide vaccination. All rats receive an identical vaccine sequence as that described above. Cohort #6 receive 200 microlitres of normal saline without DNA. Cohort #7 receive 1 microgram of pITL-GFP closed circular plasmid DNA. Cohort #8 receive 10 micrograms of pITL-GFP closed circular plasmid DNA. Cohort #9 receive 100 micrograms of pITL-GFP closed circular plasmid DNA. Cohort #10 receive 1 milligram of pITL-GFP closed circular plasmid DNA.

Three animals from each of the cohorts #6 through 10 are euthanized on Day #3, 7, 14, 21, 28, 35, 42, 49, and 56 or earlier if animals become moribund due to tumor growth. Animals are necropsied immediately after euthanasia. Tissue specimens are identical to cohorts #1 through 4 with the exception that sections of the tumor nodule is submitted for H&E stained histochemistry and a specimen is frozen as above. Blood specimens not to exceed 2 ml is collected by retro-orbital plexus or tail vein phlebotomy no more than weekly for evaluation of hematologic parameters. Clearly, if tumor growth is either accelerated or sustained this will cause morbidity to the animals.

EXAMPLE 6

Evaluation of immune response and toxicities to anti-tumor vaccination, using constructs with partial sequences of rat HER2/neu, in normal and tumor bearing rats

The C-erbB-2 or HER-2/neu gene product, originally isolated from neuroblastoma tumor lines, is homologous to the epidermal growth factor receptor (150-154) and expressed in up to 40% of breast carcinomas (26). Since the original

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characterization of this sequence the amplification and overexpression of the protein has been variably associated with prognosis in both ovarian and breast carcinomas (155-165). In murine cell lines, activation of HER-2/neu has been associated with mutations in the transmembrane domain. However, to date most tumor specimens demonstrate gene amplification rather than point mutations (155, 157, 158, 166). HER2/neu amplification occurs in up to one third of breast carcinomas. Monoclonal antibodies recognizing the c-erbB-2 or HER2/neu protein have been shown to be cytostatic in vitro for cell lines overexpressing the c-erbB-2 or HER2/neu protein (167-170). Additionally, CTL lines isolated from patients with ovarian carcinoma have been shown to recognize synthetic peptides corresponding to two regions on the HER2/neu protein (74) despite the fact that c-erbB-2 has been reported to be expressed in the aerodigestive and urologic tract (171). These findings suggest that HER2/neu can be a source of tumor antigens for an anti-tumor immune response.

The choice of HER2/neu as the initial target, tumor-associated, antigen is predicated upon considerations of the animal model and the human clinical trial. A concern of polynucleotide vaccination is the theoretical induction of an autoimmune phenomena to related normal proteins. HER2/neu shares the high degree of homology with other normal cellular proteins, i.e. with other members of the EGFR family (150, 154) as shown in Figure 3. Therefore, a phase 1 clinical trial with this target antigen is most likely to expose this potential toxicity. The human Her2/neu target antigen corresponds with amino acid residues in Figure 3. Additionally, it has been demonstrated that the rat mammary tumor 13762 expresses rat neu. To minimize the potential for autoimmune phenomena a limited partial sequence, including the transmembrane domain (the site of the activating mutation in rat neu), and a segment of the cytoplasmic domain with limited homology to EGFRs is constructed using rtPCR techniques from rat 13762 and the human breast cancer cell line SK-BR-3 (ATCC # HTB-30). These sequences share very limited homology with other EGFR members. Identical sections of the rat and human sequence are utilized. The target amino acid sequence for both human Her2/neu and rat Her2/neu is depicted in Figure 4.

The nucleic acid sequence encoding the partial human Her 2/neu target sequence is ligated at the initiation site of the polynucleotide vector. In one

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embodiment, the nucleic acid sequences encode at least one or a combination of the following amino acid sequences or portion thereof of Her 2/neu:

PDLSYMPIWKF	PDEEGACQPC	PINCTHSCVD	LDDKGCPAEQ
RASPLTSIIS	AVVGILLVVV	LGVVFGIL (SEQ. ID NO. 19), or portion	
or mammalian homolog thereof and,			
PAPGAGGMVH	HRHRSSSTRS	GGGDLTLGLE	PSEEEAPRSP
LAPSEGAGSD	VFDGDLGMGA	AKGLOSLPTH	DPSPLQRYSE
DPTVPLPSET	DGYVAPLTCS	PQPEYVNQPD	VRPOPPSPRE
GPLPAARPAG	ATLERPKTLS	PGKNGVVKDV	FAFGGAVENP
EYLTPQGTCS	PQPEYVNQPD	VRPQPPSPRE	GPLPAARPAG
ATLERPKLSP	GKNGVVKDVF	AFGGAVENPE	YLTPQGGAAP
QPHPPPAFSP	AFDNLYYWDO	DPPERGAPPS	TFKGTPTAEN
PEYLGLDVPV (SEO. ID NO. 20), or portion or mammalian homolog thereof.			

In another embodiment, the nucleic acid sequences encode at least one region corresponding to the transmembrane domain of Her 2/neu comprising the amino acid sequence:

IISAVVGILLVVVLGVVFGILI (SEQ. ID NO. 21), or portion or mammalian homolog thereof.

The respective nucleic acid sequences encoding the target antigen are ligated into pITL. Subsequent clones are sequenced by standard dideoxy sequencing techniques (Sequenase, USB/Amersham, Arlington Heights, IL) to assure fidelity of the insert. Appropriate clones of the vector are transformed into DH10 b/p3, amplified, purified, and characterized as described above. These preparations are used in the following animal experiments.

Cohorts #11 through 15, 20 rats per cohort, receive three repeated injections in the same limb, every three weeks, administered exactly as described above with the exception that the tattoo is placed only once. The polynucleotide vaccine preparation consist of pITL-rHER2/neu, i.e., pITL with a partial rat HER2/neu cDNA sequence. Two weeks after the final injection the rats are challenged with 5 x 10⁵ 13762 tumor

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cells in no more than 0.5 ml sterile normal saline administered into the subcutaneous space. Cohort #11 receives only sterile saline and no closed circular plasmid DNA per injection. Cohort #12 receives 1 microgram of pITL-rHER2/neu closed circular plasmid DNA per injection. Cohort #13 receives 10 micrograms of pITL-rHER2/neu closed circular plasmid DNA per injection. Cohort #14 receives 100 micrograms of pITL-rHER2/neu closed circular plasmid DNA per injection. Cohort #15 receives 1 milligram of pITL-rHER2/neu closed circular plasmid DNA per injection. Cohorts #16 through #20, 20 rats per cohort, have 5 x 10^s cells in no more than 0.5 ml sterile normal saline administered into the subcutaneous space 10 to 14 days prior to initiating a polynucleotide vaccine sequence of three injections administered every three weeks so as to have an established tumor not to exceed 1.0 cm in diameter. Cohort #16 receives only sterile saline and no closed circular plasmid DNA per injection. Cohort #17 receives 1 microgram of pITL-rHER2/neu closed circular plasmid DNA per injection. Cohort #18 receives 10 micrograms of pITL-rHER2/neu closed circular plasmid DNA per injection. Cohort #19 receives 100 micrograms of pITL-rHER2/neu closed circular plasmid DNA per injection. Cohort #20 receives 1 milligram of pITL-rHER2/neu closed circular plasmid DNA per injection.

Animals from cohorts #11 through 15 have venous blood samples obtained prevaccine initiation, at the time of each vaccination, at the time of tumor challenge, and every three weeks thereafter. These samples are used to assess humoral immune responses by FACS analysis on both 13762 tumor cells and RAT2 cells transiently transfected with the vaccine construct, pITL-rHER2/neu, using cationic lipid techniques as described above for promoter evaluation. A 'sandwich' ELISA is also used to evaluate the development of anti-HER2/neu antibodies, i.e. using test serum as the capture antibody, application of either SKBR3 (HER2/neu + human breast cancer cell line) or 13762 cellular lysates, detection with anti-rat or anti-human HER2/neu antibody (Ab-4 and Ab-2 or Ab-5 respectively, Oncogene Sciences) and followed by an appropriate anti-isotope enzyme labeled detection antibody and calorimetric determination.

Three individual animals from each cohort are euthanized at the following time points: at the time of tumor challenge, two weeks after tumor challenge, four weeks after tumor challenge, six weeks after tumor challenge, and the remaining animals

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when moribund or no later than one year after tumor challenge. Euthanized animals have spleens harvested for isolation of T lymphocytes, tumor nodule sampling for histochemical examination (both fixed and frozen section), and necropsied with gross abnormalities directing further tissue section submission. Splenic T lymphocytes are isolated used to assay tumor specific CTL precursor frequency using limiting dilution assays (89) and signal transduction defects as previously described for mouse model systems (149, 172).

Animals from cohorts #16 through #20 have venous blood samples obtained pre-tumor inoculation, pre-vaccine initiation, at the time of each vaccination, and every three weeks thereafter. These samples are used to assess humoral immune responses as described above. Three individual animals from each cohort are euthanized at the following time points: at the time of first vaccination (pre treatment), at the time of second vaccination, at the time of the third vaccination, 3 weeks after completion of vaccination, 6 weeks after completion of the vaccination sequence, 12 weeks after completion of the vaccination sequence, and the remaining animals when moribund or no later than one year after completion of the vaccination sequence. Euthanized animals have spleens harvested for isolation of T lymphocytes, tumor nodule sampling for histochemical examination (both fixed and frozen section), and necropsied with gross abnormalities directing further tissue section submission. Splenic T lymphocytes are isolated used to assay tumor specific CTL precursor frequency and signal transduction defects.

EXAMPLE 7

Evaluation of toxicity of anti-tumor vaccination with constructs containing partial sequences of human HER2/neu in rats

Cohorts #21 through 25, 45 rats per cohort, receive three repeated injections in the same limb, every three weeks, administered exactly as described above with the exception that the tattoo is placed only once. The construct used is the base vector with the human partial HER2/neu sequence construct, pITL-hHER2/neu. The nucleic acid sequence of one embodiment of a pITL-hHer2/neu polynucleotide vector vaccine

comprises:

GCCACCATGGCC cct gacctctcctacatgcccatctggaagtttccagatgaggaggcgcatgccagccttgccccatca actgcacccactcct gtgtggacctggatgaca agggctgccccgccgagcagagagaccagccctctgacgtccatcatctctgcggtggttggcattctgctggtcgtggtcttgggggtggtctttgggatcctcatcaagcgacggcagcagaagatcacatgtccagaccctgccccgggcgctgggggcatggtccaccacaggcaccgcagctc atctaccaggagtggcggtggggacctgacactagggctggagccctctgaagaggaggcccccaggtctccactg ${\tt gcaccctccgaaggggctggctccgatgtatttgatggtgacctgggaatgggggcagccaaggggctgcaaagcc}$ teccea catgacccca gecete ta cagegg ta cagtgaggacccca cagtacccct gecete tgagactgat ggctegcccg agagggccctctgcctgctgcccgacctgctggtgccactctggaaaggcccaagactctctccccagggaagaatggggtcgtcaaagacgtttttgcctttgggggtgccgtggagaaccccgagacttgacaccccagggag cagageggggggetecacceageacetteaaagggacacctaeggeagagaacceagagtaeetgggtetggae ${\tt gtgccagtgtgaa} GCCTTAAGGGCCATATGGTGAGTGGATGCCTTGACCCCAGG$ CGGGGATGGGGAGACCTGTAGTCAGAGCCCCCGGGCAGCACAGGCCAA TGCCCGTCCTTCCCCTGCAGTGAGT AGTGACTGCCCGGGTGGGATCCCTG TGACCCCTCCCCAGTGCCTCT CCTGGCCCTGGAAGTTGCCACTCCAGTGC CCACCAGCCTTGTCCTAATAAAATTAAGTTGCATCATTTTGTCTGACTAGG TGTCCTCTATAATATTATaagcttg atatcgAATTCTTTCTCAACGTAACACTTTA CAGCGCGCGTCATTTGATATGATGCGCCCCGCTTCCCGATAAGGGAGCA GGCCAGTAAAAGCATTACCCGTGGTGGGGTTCCCGAGCGGCCAAAGGGA GCAGACTCTAAATCTGCCGTCATCGACTTCGAAGGTTCGAATCCTTCCCC CACCACCATCACTTTCAAAAGTCCGAAAGAATTcctgcagcccGTGTAGCCGTA GTTAGGCCACCACTTCAAGAACTCTGTAGCACCGCCTACATACCTCGCTC TGCTAATCCTGTTACCAGTGGCTGCTGCCAGTGGCGATAAGTCGTGTCTT ACCGGGTTGGACTCAAGACGATAGTTACCGGATAAGGCGCAGCGGTCGG GCTGAACGGGGGTTCGTGCACACAGCCCAGCTTGGAGCGAACGACCTAC ACCGAACTGAGATACCTACAGCGTGAGCATTGAGAAAGCGCCACGCTTCC CGAAGGGAGAAAGGCGGACAGGTATCCGGTAAGCGGCAGGGTCGGAACA GGAGAGCGCACGAGGGAGCTTCCAGGGGGAAACGCCTGGTATCTTTATAG TCCTGTCGGGTTTCGCCACCTCTGACTTGAGCGTCGATTTTTGTGATGCTC GTCAGGGGGGGGGAGCCTATGGAAAAACGCCAGCAACGCGGCCggggggatcc

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Three animals from each of the cohorts # 21 through 25 are euthanized on Day # 0, 1, 2, 3, 5, 7, 14, 21, 28, 35, 42, 49, and 56, either by inhaled carbon dioxide or cervical dislocation. Animals are examined at necropsy immediately following euthanasia. The following tissue specimens are submitted for histologic evaluation by H & E stained sections: skeletal muscle and skin from both injection sites, normal skin and skeletal muscle, inguinal lymph node(s), thymus, bone marrow (femur), spleen, gastrointestinal tract with Peyer's patches, heart, lung, liver, kidney, brain/spinal cord, and any other tissues which appear grossly abnormal at the time of necropsy. Tissues from these same organs are prepared and frozen in OCM media for frozen section evaluation if indicated and stored at -70°C for future studies. Blood specimens not to exceed 2 ml are collected by retro-orbital plexus or tail vein phlebotomy no more than weekly for evaluation of hematologic parameters.

EXAMPLE 8

Phase I Trial

The Phase I study is designed to determine the maximal tolerated dose of a polynucleotide vaccine, evaluate potential toxicities, and immunological effect of the polynucleotide vector vaccine.

VACCINE PREPARATION

pITL plasmid vector and constructs containing the various target sequences are detailed herein. Individual plasmid constructs have been sequenced to confirm target sequence fidelity. These vectors are transfected using the standard heat shock method into frozen competent DH10 β /p3 E. Coli. bacteria (Gibco BRL, Gaithersburg, MD),

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grown under ampicillin and tetracycline selection as previously described. The vector pITL requires the presence of the p3 helper plasmid for appropriate selection and subsequent isolation. However, all current plasmid DNA isolation techniques cannot selectively isolate pITL (1.5 - 2.0 kb) from the much larger p3 plasmid (60kb). To avoid administering a contaminating plasmid as part of the polynucleotide vaccine preparation a separate isolation procedure is disclosed herein based on anion exchange chromatography. Anion exchange resins have a higher affinity for large DNA molecules than small molecules at any given NaCl concentration. The 30 fold larger p3 plasmid is retained at a NaCl concentration in the elution gradient which releases the pITL.

After standard alkaline/SDS lysis of large volume culture, digestion with RNAse A at 100ug/ml, and isopropanol precipitation the resultant pellet is resuspended in TE and applied to the anion exchange column. Small disposable Nucleobond AX columns (Nest Group Inc., Southborough, MA) or MonoQ column (Pharmacia Biotech, Piscataway, NJ) run on an FPLC system (Pharmacia Biotech, Piscataway, NJ) are used with a NaCl gradient form 0.01M to 3M. The covalently closed circular (CCC) form of the pITL construct is eluted at less than 1M NaCl while the p3 plasmid is retained and the open circular (OC) form of the pITL plasmid is eluted at a lower NaCl concentration in the gradient and is discarded. Columns are used only once to avoid cross contamination. The resultant retained fractions are precipitated with ethanol at -20°C. The pellet is resuspended with sterile PBS and stored aseptically at -20°C until use. Purity of the preparation is assessed by agarose electrophoresis and ethidium bromide staining of undigested and Hind III digested Hind III digests linearizes both pITL and the 3 helper plasmid. ultraviolet spectra across the 240nm to 320nm range is also used. Sample aliquots are retained for microbiological evaluation as needed.

STUDY DESIGN

The patients have histopathological confirmation of the diagnosis of adenocarcinoma of the breast. The specimen submitted to pathology is evaluated for surface expression of HER-2/neu immunohistochemistry. If tumor is available for

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biopsy the immunotherapy laboratory receives 2 cm³ (minimum of 1 cm³) of fresh tumor transported on ice. The sample is used to generate tumor cell and autologous normal breast epithelium or normal fibroblast cell cultures and for mRNA isolation for rtPCR analysis.

All patients receive intramuscular (IM) injections (vaccine or control). The vaccine consists of the Her 2/neu polynucleotide vaccine construct as detailed herein at the appropriate dose level per cohort, resuspended in 0.5 ml injection grade, sterile, normal saline and administered in the lateral quadriceps with the patient in the supine position. Cohort #1 receives three initial IM injections of normal saline control (no DNA) prior to receiving three polynucleotide vaccinations.

All intramuscular injections are preceded by IM injection of 0.5 ml. 0.5 % Bupivacaine 24 hours before vaccine administration.

The injection site is marked with a sterile indian ink pinpoint tatoo at the time of the Bupivacaine administration.

Cohort #1

Patients receive IM bupivacaine followed by IM injection of sterile saline x 3 at three week intervals. Subsequently, patients receive a dose of 100 microgram of basal plasmid DNA, pITL without the Her 2/neu target sequence insert, per vaccination administered in the contralateral lower extremity at three week intervals x 3.

The first three patients have a muscle biopsy of the sterile saline injection site at week 12. The last three patients have a muscle biopsy of the basal plasmid injection site three weeks after the last vaccination, i.e. week 21.

In this cohort alone, if no toxicity is identified, the patients are vaccinated with the pITL-Her 2/neu construct, in the lower extremity originally injected with bupivacaine and saline. The dose of the pITL-Her 2/neu construct is the highest dose at which two patients have been vaccinated at least twice without observed toxicity greater than grade 2.

Cohort #2

The initial vaccination consists of 1 microgram of the reporter plasmid, pITL with the PCR amplified GFP sequence. Patients receive a dose of 1 micrograms of pITL-Her 2/neu DNA per vaccination administered in the contralateral lower extremity at three week intervals x 3. Groups of 2 patients have a muscle biopsy of the reporter plasmid vaccination site at weeks 3, 6 and 9 respectively.

Cohort #3

The initial vaccination consists of 10 micrograms of the reporter plasmid, pITL with the PCR amplified GFP sequence. A dose of 25 micrograms pITL-Her 2/neu DNA per vaccination is administered in the contralateral lower extremity at three week intervals x 3. Groups of 2 patients have a muscle biopsy of the reporter plasmid vaccination site at weeks 3, 6, and 9 respectively.

Cohort #4

The initial vaccination consists of 25 micrograms of the reporter plasmid, pITL with the PCR amplified GFP sequence. A dose of 100 micrograms pITL-Her 2/neu DNA per vaccination is administered in the contralateral lower extremity at three week intervals x 3. Groups of 2 patients have a muscle biopsy of the reporter plasmid vaccination site at weeks 3, 6, and 9 respectively.

Cohort #5

The initial vaccination consists of 100 micrograms of the reporter plasmid, pITL with the PCR amplified GFP sequence. A dose of 1000 micrograms pITL-Her 2/neu DNA per vaccination is administered in the contralateral lower extremity at three week intervals x 3. Groups of 2 patients have a muscle biopsy of the reporter plasmid vaccination site at weeks 3, 6, and 9 respectively.

All patients are evaluated for anti-tumor responses at the conclusion of the vaccination sequence, every two months x 3, then every three months. Patients free from progression at 6 months are eligible for repeated vaccination sequences identical to that initially administered minus the initial vaccination with the reporter plasmid.

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EVALUATION

Medical history, T lymphocyte function, fluorescent cell sorting, antigen specific responses are performed as detailed in Example 9 below.

DOSE ADJUSTMENT AND MODIFICATIONS

The maximally tolerated dose (MTD) of total DNA per vaccination is defined as that dose at which 0 or 1/6 patients experience polynucleotide vaccine related dose limiting toxicity (DLT) with the next higher dose level provoking DLT in any 2 patients from that cohort.

If does limiting toxicity is observed in the first two patients of any cohort, the dose is reduced by 50% and the equivalent of a full cohort enrolled at this reduced dose unless dose limiting toxicity again encountered at this new dose.

EXAMPLE 9

Phase I/II Evaluation Of Polynucleotide Vaccination In Advanced Breast Cancer

Polynucleotide Vaccine Preparation

The patients undergo an excisional biopsy with histopathological confirmation of the diagnosis of adenocarcinoma of the breast. The specimen submitted to pathology is also used for evaluation of surface expression of HER-2/neu and MUC-1 by immunohistochemistry. The immunotherapy laboratory receives 2 cm3 (minimum of 1 cm3) of fresh tumor transported on ice. This specimen is divided with 50% of the sample dispersed with collagenase and the resultant cellular preparation cryopreserved for use as described below. 10-15% of the sample is used to generate tumor cell and autologous normal breast epithelium or normal fibroblast cell cultures. The remainder is used for mRNA isolation and vaccine preparation with an immediately adjacent slice/section held for histologic confirmation and correlation. The sample is homogenized in TriZol solution (Gibco BRL) for isolation of total RNA. Messenger RNA is isolated with oligo dT agarose (Pharmacia) and subjected

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to rtPCR with first strand reverse transcription initiated from oligo d(T)12N using StrataScript Rnase H- Reverse Transcriptase (Stratagene) and the high fidelity pfu (Stratagene) thermostable polymerase for the PCR reaction. (Chapter 15 in: <u>Current Protocols in Molecular Biology</u>, Vol. 2; Ausubel, F.M. et al (eds) John Wiley & Sons, Inc. 1995).

Specific PCR primers for the individual transcripts of interest are used in individual PCR reactions using an aliquot of the cDNA from the reverse transcription of the mRNA. An embodiment of rtPCR primers for the target sequence p53 is as follows:

p 53 rtPCR primer 1: g tctgccacca tggcctactc ccctgc (SEQ. ID. NO. 23) and variants thereof;

p 53 rtPCR primer 2: ttc tttggtgacc tacctcttcg gaattgccga gtc (SEQ. ID. NO. 24) and variants thereof.

The location of the primer extension on the P53 cDNA using primer 1 and primer 2 may be depicted as follows:

atggaggageegeagteagateetagegtegageeeeetetgagteaggaaacattttea gacetatggaaactactteetgaaaacaacgttetgteeeeettgeegteecaageaatg gatgatttgatgetgteeeeggaegatattgaacaatggtteactgaagaceeaggteea gatgaageteeeagaatgeeagaggetgeteeeeggtggeeeetgeaeeageageteet acaeeggeggeeeetgeaeeageeeeeteetggeeeetgteatettetgteeetteeeag aaaacetaeeaggeagetaeggttteegtetgggettettgeattetgggaeageeaa

gtctgccacca tggcctactc ecctgc -

(primer 1)

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-ttctttggtgacc tacctcttcg gaattgccga gtc (primer 2)

ttccgagage tgaatgagge ettggaacte aaggatgeee aggetgggaa ggagecaggg gggageaggg eteacteeag eeacetgaag tecaaaaagg gteagtetae eteeegeeat aaaaaactea tgttcaagae agaagggeet gaeteagae (SEQ. ID. NO. 25)

Primers from cDNA of ras, RB1, BRCA-1, and TRE17 which incorporate the underlined mutated sequences as shown above for the p53 primers allow the target sequences to be ligated into the polynucleotide vector.

The amplified fragments is digested with the restriction endonuclease Bgl I and ligated, using T4 DNA ligase, into a similarly digested pITL vector which has been dephosphorylated with calf alkaline phosphatase. Ligation products is electroporated into DH10/p3 bacteria and selected on LB ampicillin and tetracycline plates. Ten to twenty individual colonies from each ligation/transfection are randomly selected and subjected to PCR-based sequencing. The nucleic acid sequences from clones positive for mutations are used in preparing a polynucleotide vector vaccine. In one embodiment, a mutation from germline is considered a true mutation and selected for use if present in 20% or greater of the colonies evaluated. If two or more mutations meet the above criteria all clones considered valid is used in the polynucleotide vaccine preparation. Individual clones are isolated from the strain DH10/p3 of *E. coli* using standard molecular biological techniques.

The plasmid of interest is separated from the p3 helper plasmid, required for selection, via ion exchange chromatography using the DEAE 4000-7 resin of the Nest Group Inc. An aliquot of each component of the vaccine preparation is analyzed by agarose gel electrophoresis and ethidium bromide visualization to assure purity of DNA. An aliquot of these large scale preparations is evaluated for nucleotide sequence to confirm the inserted target sequence. Individual aliquots of plasmid preparations is stored at -20°C as an ethanol precipitate (under 70% ethanol in water). Prior to use, these precipitates are resuspended at a concentration of 1 milligram per milliliter (mg/ml) in sterile, injection grade, normal saline. Appropriate volumes are diluted as required to match the individual and total dose parameters of each cohort.

All patients receive intramuscular (IM) injections (vaccine or control). The vaccine consist of individual polynucleotide vaccine construct mixtures at the

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appropriate dose level per cohort, resuspended in 0.5 ml injection grade, sterile, normal saline and administered in the lateral quadriceps with the patient in the supine position. Cohort #1 receives three initial IM injections of normal saline control (no DNA) prior to receiving three polynucleotide vaccinations.

All intramuscular injections are preceded by IM injection of 0.5 ml. 0.5 % Bupivacaine 24 hours before vaccine administration. The injection site are marked with a sterile indian ink pinpoint tatoo at the time of the bupivacaine HCl administration.

Cohort #1

Patients receive IM bupivacaine HCl followed by IM injection of sterile saline x 3 at three week intervals.

Subsequently, patients receive full compliment polynucleotide vaccination, a total dose of 1 microgram of DNA per construct per vaccination with a total dose of DNA not to exceed 5 micrograms per injection, is administered in the lower extremity at three week intervals x 3.

Cohort #2

The initial vaccination consists of 10 micrograms of the control plasmid, pITL without any PCR amplified sequences.

Full compliment polynucleotide vaccination, a total dose of 10 micrograms of DNA per construct per vaccination with a total dose of DNA not to exceed 50 micrograms per injection, is administered in the contralateral lower extremity at three week intervals x 3.

The first three patients have a muscle biopsy of the control plasmid injection site at week three. The second three patients have a muscle biopsy of the control plasmid injection site at week 6. The final four patients have a muscle biopsy of the control plasmid injection site at week 9.

Cohort #3

The initial vaccination consists of 10 micrograms of the reporter plasmid, pITL with the PCR amplified GFP sequence.

Full compliment polynucleotide vaccination, a total dose of 25 micrograms of DNA per construct per vaccination with a total dose of DNA not to exceed 125 micrograms per injection, will be administered in the contralateral lower extremity at three week intervals x 3.

The first three patients have a muscle biopsy of the control plasmid injection site at week three. The second three patients have a muscle biopsy of the control plasmid injection site at week 6. The final four patients have a muscle biopsy of the control plasmid injection site at week 9.

Cohort #4

Full compliment polynucleotide vaccination, at a total dose of 100 micrograms of DNA per construct per vaccination with the total dose of DNA per injection not to exceed 500 micrograms, is administered in one lower extremity at three week intervals x 4.

All patients are evaluated for anti-tumor responses at the conclusion of the vaccination sequence, every two months x 3, then every three months. Patients free from progression at 6 months are eligible for repeated vaccination sequences identical to that initially administered.

Freshly excised tumor is used not only to generate individual patient polynucleotide vaccine preparation but, also for the establishment of tumor cell lines using previously described techniques (173-175) and cryopreserved to evaluate tumor specific CTLp. Normal skin fibroblasts or normal autologous breast epithelium derived from the excisional biopsy is used in transfection studies for analysis of immune responses to individual vaccine components. In the absence of established cultures of autologous fibroblasts or breast epithelium, autologous PBMC is used in the same fashion although, transfection procedures require non-specific activation and will be adjusted accordingly.

Patients of cohort #1 have leukapheresis performed pre-treatment, after the first three injections (just prior to first polynucleotide vaccination), at the first post treatment follow-up visit, and at the 6 month time point. Patients of cohorts #2, #3 and #4 have leukopharesis performed pre-treatment, at the first post treatment follow-up visit, and at the 6 month time point. Manual leukapheresis of not less than

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100 cc is performed for the purpose of evaluation of tumor-specific CTLp and peripheral blood mononuclear cell phenotype at one year after initiating treatment in all surviving patients.

T Lymphocyte Function

The parameters monitored are as follows:

- 1. z chain, lck, and fyn proteins by western blot,
- 2. nuclear binding factors for yIFN and NFkB probe sequences,
- 3. Lymphokine production (IL-2, IL-4, IL-6, IL-10, and γIFN), and
- 4. Tumor specific CTL precursors (evaluated by limiting dilution assay)

Fluorescent Activated Cell Sorting (FACS)

The phenotype of peripheral blood mononuclear cells is evaluated by flow cytometry from pretreatment, pre-third vaccination, first post-vaccination follow-up visit, and 6 month samples. The cell markers to be determined are; CD3, CD4, CD8, CD16, CD25, CD56, TCR α/β , TCR γ/δ , CD45 RO, CD45 RA, CD20.

Antigen Specific Responses

Non-malignant autologous cell cultures, either fibroblast or breast epithelium depending upon materials obtained from the excisional biopsy, is transiently transfected with a mixture of pITL-GFP and an individual pITL-target sequence construct. Either lipofectin or other established transfection procedures (176) are used. A control transfection of pITL-GFP is utilized for background, non-target sequence, immune responses while the mixture assures that transfection efficiency can be controlled for.

Standard proliferation assays using ³H-thymidine incorporation (177) are performed using PBMC from individual time points. PBMC is placed into culture with irradiated (10-20cGy) transfected cells as stimulators and after 48, 3H-thymidine is added for a 16 hour pulse. Cells is harvested and counted in the standard fashion.

The frequency of CTL precursors (CTLp) for any individual component is expected to be on the order of 1/10-6 (178) and therefore to assess changes in the CTL compartment isolated T cells from PBMC preparations are non-specifically

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stimulated with OKT3 and provide IL-2 for one week. At the conclusion of this period the bulk culture are divided and assayed for cytotoxicity on the individually transfected autologous cells noted above along with K562 as a control for NK activity.

Tumor specific CTL precursors are analyzed using irradiated (10-20cGy) autologous tumor cells as stimulators in limiting dilution cultures of PBMC from individual time points, using a previously established technique (178). Standard cytotoxicity assays again using autologous tumor cells and K562 as target cells, are scored and tumor specific CTLp frequency calculated.

Muscle biopsies is performed as out patient minor surgery procedures. The tissue samples is multiply divided cross sectionally and separated in an alternating fashion into two groups; the first for standard formalin fixation with H&E histologic examination along with fluorescent microscopy on unstained sections for detection of GFP, the second for frozen section examination and use for immunohistochemical staining. Standard post biopsy care with adequate analgesia and wound care is administered.

CRITERIA FOR RESPONSE

Complete Response

Disappearance of all clinical and laboratory signs and symptoms of active disease for a minimum period of four weeks. Persistently abnormal bone scans, if stable for a period of not less than 8 weeks is allowed.

Partial Response

A minimum of 50% reduction in the sum of the bidimensional product of measurable lesions persisting for a minimum period of four weeks. Although every lesion need not demonstrate a full 50% reduction in bidimensional product, no lesion may grow nor can there be new lesions demonstrated in this category of response.

Stable Disease

No change or decrease in the sum of the bidimensional product less than 50% persisting for a minimum period of four weeks. No lesion may grow and no new lesions can appear in this category of response.

25% increase in the sum of the bidimensional product or the appearance of new lesions.

TOXICITY

Toxicities is graded according to the NCI Common Toxicity Criteria.

DOSE ADJUSTMENT AND MODIFICATIONS

The maximally tolerated dose (MTD) of total DNA per vaccination is defined as that dose at which 0 or 1/6 patients experience polynucleotide vaccine related dose limiting toxicity (DLT) with the next higher dose level provoking DLT in any 2 patients from that cohort.

If dose limiting toxicity is observed in the first two patients of any cohort, the dose is reduced by 50% and the equivalent of a full cohort is enrolled at this reduced dose unless dose limiting toxicity is again encountered at this new dose.

If an individual component at a given dose is suspected to be responsible for undue toxicity due to its association with toxicities in separate patients, it is decreased to the previously tolerated dose or dropped from the vaccine preparation if no tolerated dose is previously documented.

If the patient has sufficient potential tumor antigenic templates identified so as to exceed the total dose limitations, if all constructs were to be used, the constructs included in the vaccine preparation is prioritized as follows: 1.) constructs expressing mutated sequences isolated from the patient's tumor, 2.) sequences for Int-2 and Hst and 3.) muc-1 and c-erbB-2/HER2/neu.

REMOVAL OF PATIENTS FROM PROTOCOL

- A. All three of the following, which preclude polynucleotide vaccine production:
- 1.) No detectable genetic deviation from germline in p53, H or K ras, RB, BRCA-1, TRE2/TRE17,
- 2.) Absence of overexpression of c-erbB-2HER 2/neu
- 3.) No expression of MUC-1
- B. Progressive disease

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- C. Unacceptable toxicity
- D. Intercurrent Illness which prevents further administration of the treatment
- E. Decision of the patient to withdraw from the study
- F. General or specific changes in the patient's condition which render the patient unacceptable for further treatment in the judgement of the investigator.

EXAMPLE 10

Construction of pITL-1

In this embodiment, the polynucleotide vector comprises a 440 base pair region from the RANTES promoter in combination with a 635 base pair region of colE1 origin of replication. The polynucleotide vector was constructed as follows:

- (A) Splice & poly A sequence was synthesized and inserted into the vector pGEM between the Hind III and Xho I site forming pGEM ELN.
- (B) The stuffer region was isolated from pCDM8 by PCR amplification of an approximately 200 base pair portion and blunt end ligated into Xho I site of pGEM ELN forming pGEM ELN/Stuffer.
- (C) A 440 base pair region of the RANTES promoter was excised from pGL RANTES using Xho I and Nco I. This fragment is klenow filled (polished) and blunt end ligated into pGEM ELN at the Hind III and Nsi site forming pGEM ELN/RANTES.
- (D) The 440 base pair region of the RANTES promoter was next excised from pGEM ELN/RANTES using Nsi and Hind III and Inserted into pGEM ELN/Stuffer at its analogous site forming pGEM/ELN/Stuffer/RANTES.
- (E) A 635 base pair region of the colE1 origin of replication was excised from pBR327 using Bst YI and Ava I. This fragment was polished and blunt end ligated into pBluescript/Sup F forming pBluescript/Sup F/colE1.
- (F) Sup F was excised from πVX using Eco RI and ligated into the Eco RI site of pBluescript forming pBluescript/Sup F.
- (G) The Sup F and colE1 region was removed from pBluescript/ Sup F/colE1 using Hind III and Bam HI and inserted into pGEM/ ELN/Stuffer/RANTES at its analogous site forming pGEM/pITL-1.
- (H) pITL-1 is separated from pGEM by excising with Kpn I. pITL-1 is then religated.

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The resulting vector is designated herein as pITL-1. The pITL-1 vector contains the fragment of the RANTES promoter that corresponds with the region in genomic RANTES promoter from about NCO site through the KpnI site. The plasmid polynucleotide vector in *Escherichia Coli* strain DH $10\beta/P3$ was deposited under terms of the Budapest treaty with the American Type Culture Collection, 12301 Parklawn Drive, Rockville MD 20852 USA on April 9, 1997 under ATCC Designation 98400.

The computer generated sequence which approximates the sequence of pITL-1 comprises the sequence:

GgtacctgccaccaTGGCGCGGATTCTTTATCACTGATAAGTTGGTGGACATATT ATGTTTATCAGTGATAAAGTGTCAAGCATGACAAAGTTGCAGCCGAATAC AGTGATCCGTGCCGGCCCTGGACTGTTGAACGAGGTCGGCGTAGACGGTC TGACGACACGCAAACTGGCGGAACGGTTGGGGGTGCAGCAGCCGGCGCT TTACTGGCACTTCAGGAACAAGCGGGCGCcttaagggccaTATGGTGAGTGGAT GCCTTGACCCCAGGCGGGGATGGGGGAGACCTGTAGTCAGAGCCCCCGG ${\tt GCAGCACAGGCCAATGCCCGTCCTTCCCCTGCAGGATgAGTagtgagtgcctctcct}$ gGCCCtGGaagttgccactccagtgCCCaccagccttgtcctaataaaattaagttgcatcattttgtctgactaggtgtcctctataatattataagcttgatatcGAATTCTTTCGGACTTTTGAAAGTGATGGTGGTGG GGGAAGGATTCGAACCTTCGAAGTCGATGACGGCAGATTTAGAGTCTGCT CCCTTTGGCCGCTCGGGAACCCCACCACGGGTAATGCTTTTACTGGCCTG CTCCCTTATCGGGAAGCGGGGCGCATCATATCAAATGACGCGCCGCTGTA AAGTGTTACGTTGAGAAAGAATTCctgcagcccGCCGCGTTGCTGGCGTTTTTC CATAGGCTCCGCCCCCTGACGAGCATCACAAAAATCGACGCTCAAGTCA GAGGTGGCGAAACCCGACAGGACTATAAAGATACCAGGCGTTTCCCCCTG GAAGCTCCCTCGTGCGCTCTCCTGTTCCGACCCTGCCGCTTACCGGATAC CTGTCCGCCTTCCCCTTCGGGAAGCGTGGCGCTTTCTCAATGCTCACGC TGTAGGTATCTCAGTTCGGTGTAGGTCGTTCGCTCCAAGCTGGGCTGTGTG CACGAACCCCCGTTCAGCCCGACCGCTGCGCCTTATCCGGTAACTATCG TCTTGAGTCCAACCCGGTAAGACACGACTTATCGCCACTGGCAGCAGCCA CTGGTAACAGGATTAGCAGAGCGAGGTATGTAGGCGGTGCTACAGAGTTC TTGAAGTGGTGGCCTAACTACGGCTACACTAGAAGGACAGTATTTGGTAT CTGCGCTCTGCAAGCCAGTTACCTTCGGAAAAAGAGTTGGTAGCTCTT CAGCAGATTACGCGCAGAAAAAAAGGATCTgggggatccggagagctcccaacgcgttgg atgcatggatgaggaaaggaggtaagatctgtaatgaataagcaggaactttgaagactcagtgactcagtgagtaataaa ccctggaaggtaaaactaaggatgtcagcagagaaatttttccaccattggtgcttggtcaaagaggaaactgatGAGCTCACTCTAGATGAGAGAGCAGTGAGGGGAGAGACAGAGACTCGAATTTCC GGAGCTATTTCAGTTTTCTTTTCCGTTTTGTGCAATTTCACTTATGATACC GGCCAATGCTTGGTTGCTATTTTGGAAACTCCCCTTAGGGGATGCCCCTC AACTGGCCCTATAAAGGGCCAGCCTGAGCTGCAGAGGATTCCTGCAGAGG ATCAAGACAGCACGTGGACCTCGCACAGCCTCTCCCACA (SEQ ID NO. 28) A smaller polynucleotide vector was constructed, differing from pITL-1 by about 200 base pairs, was constructed as described above with the exception that a base pair region of approximately 220 base pairs of the RANTES promoter was used. The resulting vector is referred to herein as pITL-A. This smaller vector in *E. coli strain* DH-10 β /P3 was deposited under terms of the Budapest treaty with the ATCC on April 9, 1997 under ATCC designation 98401. The computer generated sequence which approximates the sequence of pITL-A comprises the sequence:

GgtacctgccaccaTGGCGCGGATTCTTTATCACTGATAAGTTGGTGGACATATT ATGTTTATCAGTGATAAAGTGTCAAGCATGACAAAGTTGCAGCCGAATAC AGTGATCCGTGCCGGCCCTGGACTGTTGAACGAGGTCGGCGTAGACGGTC TGACGACACGCAAACTGGCGGAACGGTTGGGGGTGCAGCAGCCGGCGCT TTACTGGCACTTCAGGAACAAGCGGGCGCcttaagggccaTATGGTGAGTGGAT GCCTTGACCCCAGGCGGGGATGGGGGAGACCTGTAGTCAGAGCCCCCGG GCAGCACAGGCCAATGCCCGTCCTTCCCCTGCAGGATgAGTagtgagtgcctctcctgGCCCtGG a agttgccactccagtgCCC accagccttgtcctaataaaattaagttgcatcattttgtctgactaggtgtGGGAAGGATTCGAACCTTCGAAGTCGATGACGCAGATTTAGAGTCTGCT CCCTTTGGCCGCTCGGGAACCCCACCACGGGTAATGCTTTTACTGGCCTG CTCCCTTATCGGGAAGCGGGGCGCATCATATCAAATGACGCGCCGCTGTA **AAGTGTTACGTTGAGAAAGAATTCctgcagcccGCCGCGTTGCTGGCGTTTTTC** CATAGGCTCCGCCCCCTGACGAGCATCACAAAAATCGACGCTCAAGTCA GAGGTGGCGAAACCCGACAGGACTATAAAGATACCAGGCGTTTCCCCCTG GAAGCTCCCTCGTGCGCTCTCCTGTTCCGACCCTGCCGCTTACCGGATAC CTGTCCGCCTTCCCCTTCGGGAAGCGTGGCGCTTTCTCAATGCTCACGC TGTAGGTATCTCAGTTCGGTGTAGGTCGTTCGCTCCAAGCTGGGCTGTGTG CACGAACCCCCGTTCAGCCCGACCGCTGCGCCTTATCCGGTAACTATCG TCTTGAGTCCAACCCGGTAAGACACGACTTATCGCCACTGGCAGCAGCCA CTGGTAACAGGATTAGCAGAGCGAGGTATGTAGGCGGTGCTACAGAGTTC TTGAAGTGGTGGCCTAACTACGGCTACACTAGAAGGACAGTATTTGGTAT CTGCGCTCTGCAAGCCAGTTACCTTCGGAAAAAGAGTTGGTAGCTCTT CAGCAGATTACGCGCAGAAAAAAAGGATCTggggggatccggagagctcACTCTAGA TGAGAGAGCAGTGAGGGAGAGACAGAGACTCGAATTTCCGGAGCTATTTC AGTTTTCTTTTCCGTTTTGTGCA ATTTCACTTATGATACCGGCCAATGCTT

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GGTTGCTATTTTGGAAACTCCCCTTAGGGGATGCCCCTCAACTGGCCCTAT AAAGGGCCAGCCTGAGCTGCAGAGGATTCCTGCAGAGGATCAAGACAGC ACGTGGACCTCGCACAGCCTCTCCCACA (SEQ ID NO. 27)

EXAMPLE 11

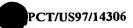
pITL-1-GFP

The target reporter gene encoding green fluorescent protein was inserted into the above pITL-1 polynucleotide vector forming the vector, pITL-1GFP. The computer generated sequence which approximates the sequence of pITL-1 GFP comprises:

GgtacctgccaccaTGGCGAAGGGCGAGGAACTGTTCACTGGCGTGGTCCCAATT CTCGTGGAACTGGATGGCGATGTGAATGGGCACAAATTTTCTGTCAGCGG AGAGGGTGAAGGTGATGCCACATACGGAAAGCTCACCCTGAAATTCATCT GCACCACTGGAAAGCTCCCTGTGCCATGGCCAACACTGGTCACTACCTTC ACCTATGGCGTGCAGTGCTTTTCCAGATACCCAGACCATATGAAGCAGCA TGACTTTTTCAAGAGCGCCATGCCCGAGGGCTATGTGCAGGAGAAACCA TCTTTTCAAAGATGACGGGAACTACAAGACCCGCGCTGAAGTCAAGTTC GAAGGTGACACCCTGGTGAATAGAATCGAGTTGAAGGGCATTGACTTTAA GGAAGATGGAAACATTCTCGGCCACAAGCTGGAATACAACTATAACTCCC ACAATGTGTACATCATGGCCGACAAGCAAAAGAATGGCATCAAGGTCAA CTTCAAGATCAGACACAACATTGAGGATGGATCCGTGCAGCTGGCCGACC ATTATCAACAGAACACTCCAATCGGCGACGGCCCTGTGCTCCTCCCAGAC AACCATTACCTGTCCACCCAGTCTGCCCTGTCTAAAGATCCCAACGAAAA GAGAGACCACATGGTCCTGCTGGAGTTTGTGACCGCTGCTGGGATCACAC **ATGGCATGGACGAGCTGTACAAGTGAGCGCcttaagggccaTATGGTGAGTGGA** TGCCTTGACCCCAGGCGGGGATGGGGGAGACCTGTAGTCAGAGCCCCCGG GCAGCACAGGCCAATGCCCGTCCTTCCCCTGCAGGATgAGTagtgagtgcctctcct gGCCCtGG a agttgccactccagtgCCC accagccttgtcctaataaaattaagttgcatcattttgtctgactaggtgtcctctataatattataagcttgatatcGAATTCTTTCGGACTTTTGAAAGTGATGGTGGTGG GGGAAGGATTCGAACCTTCGAAGTCGATGACGGCAGATTTAGAGTCTGCT CCCTTTGGCCGCTCGGGAACCCC ACCACGGGTAATGCTTTTACTGGCCTG CTCCCTTATCGGGAAGCGGGGCGCATCATATCAAATGACGCGCCGCTGTA **AAGTGTTACGTTGAGAAAGAATTCctgcagcccGCCGCGTTGCTGGCGTTTTTC** CATAGGCTCCGCCCCCTGACGAGCATCACAAAAATCGACGCTCAAGTCA GAGGTGGCGAAACCCGACAGGACTATAAAGATACCAGGCGTTTCCCCCTG GAAGCTCCCTCGTGCGCTCTCCTGTTCCGACCCTGCCGCTTACCGGATAC CTGTCCGCCTTTCTCCCTTCGGGAAGCGTGGCGCTTTCTCAATGCTCACGC TGTAGGTATCTCAGTTCGGTGTAGGTCGTTCGCTCCAAGCTGGGCTGTGTG CACGAACCCCCGTTCAGCCCGACCGCTGCGCCTTATCCGGTAACTATCG TCTTGAGTCCAACCCGGTAAGACACGACTTATCGCCACTGGCAGCAGCCA CTGGTAACAGGATTAGCAGAGCGAGGTATGTAGGCGGTGCTACAGAGTTC TTGAAGTGGTGGCCTAACTACGGCTACACTAGAAGGACAGTATTTGGTAT

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The pITL-1-GFP vector was tested for functional expression in primary human skeletal muscle cells. The vector pITL-GFP expressed the reporter protein, GFP in the human skeletal muscle cells in vitro.



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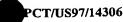
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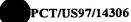


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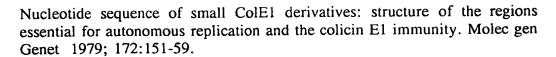
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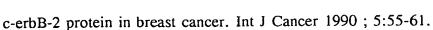
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